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<p>(54) Title: MUCOSAL IMMUNOGENS FOR NOVEL VACCINES</p>		
<p>(57) Abstract</p> <p>The present invention provides chimeric proteins such as Salivary Binding Protein (SBR) coupled to the B subunit of cholera toxin. Such a chimeric protein, when expressed in attenuated <i>Salmonella typhimurium</i> produces significant increases in serum IgG and salivary IgA antibody levels after oral immunization. In another embodiment of the present invention, the recombinant plasmid contains a salivary binding protein-cholera toxin A2/B chimeric protein expressed in <i>E. Coli</i>. Intra-gastric immunization of SBR coupled to CTB in this chimeric protein form leads to increased antigen responsive T cells. In another embodiment of the present invention, the recombinant plasmid contains a salivary binding protein-cholera toxin g(D)A 1 chimeric protein expressed in <i>Salmo nella typhimurium</i>. Oral immunization using this recombinant plasmid results in increased serum IgG responses to antigen. Oral immunization using this recombinant plasmid also resulted in increased salivary IgA antibody responses to antigen.</p>		

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## MUCOSAL IMMUNOGENS FOR NOVEL VACCINES

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### BACKGROUND OF THE INVENTION

#### Field of the Invention

The present invention relates generally to the fields of molecular immunology and protein chemistry. More specifically, the present invention relates to a novel mucosal immunogens for use in novel vaccines.

#### Description of the Related Art

An oral immunization strategy is when the desired mucosal immunogen is genetically fused to the A2 subunit of cholera toxin (CT) that mediates association with the B subunit of CT, a potent immunoenhancing agent. An antigen selected for evaluating the oral immunogenicity of such non-toxic CTA2/B-based constructs is the saliva-binding region (SBR) of the AgI/II adhesin from the oral bacterium *Streptococcus mutans*. The SBR genetically linked to CTA2/B, designated SBR-CT<sup>DA1</sup>, was found to be immunogenic by the oral route and elicited high levels of secretory immunoglobulin A (S-IgA) and serum IgG antibodies to AgI/II.

Despite its great importance for mucosal defense, the S-IgA antibody response is often of relatively short duration, lasting from a few weeks in experimental animals to a few months in humans. Moreover, whether the secretory immune system is capable of anamnestic immune responses has been debated, but recent studies in mice and humans have addressed the concept of immunological memory at the mucosal surfaces. Immunological memory can be manifested as a long-lasting immune response or as a faster and more vigorous anamnestic response to re-

encounter with an antigen. A desirable vaccine characteristic is the induction of prolonged immune responses, especially when the pathogenic organism is frequently encountered at mucosal surfaces, in which case a continuing level of immunity may be  
5 necessary.

IgA antibodies in external secretions protect mucosal surfaces, e.g., of the gastrointestinal and respiratory tracts, by blocking microbial adherence and colonization. Oral administration of vaccines can result in the induction of secretory immune  
10 responses after uptake of the antigen by the gut-associated lymphoid tissues, a major IgA inductive site. However, most soluble proteins are not only poor immunogens when given orally but they may induce a state of systemic unresponsiveness known as "oral tolerance". The experimental use of cholera toxin from  
15 *Vibrio cholerae* or the related heat-labile enterotoxin from *Escherichia coli* as mucosal adjuvants inhibit induction of oral tolerance and potentiates the immune responses to co-administered protein antigens.

Another strategy to overcome problems associated with  
20 oral immunization (e.g., denaturation of the protein immunogens by gastric acid and digestive enzymes, limited absorption by the intestinal mucosa, and clearance by peristalsis) as well as the need to purify a vaccine protein, involves the use of avirulent derivatives of *Salmonella typhimurium* as a vaccine delivery  
25 system with tropism for the gut-associated lymphoid tissues. Oral immunization with avirulent *S. typhimurium* expressing heterologous antigens is generally not associated with suppression but rather with stimulation of protective secretory and serum antibody responses as well as cell-mediated immune responses.

30 Initial adherence of *Streptococcus mutans* to tooth surfaces appears to be mediated largely by the 167kDa surface fibrillar adhesin known as AgI/II (synonyms: antigen B, P1, SpaP, PAc). The adhesion domain that interacts with salivary pellicle has been located to the alanine-rich (A) repeat region in the N-  
35 terminal part of the molecule extending from the cell surface probably in an  $\alpha$ -helical conformation. Studies on AgI/II indicated that rhesus monkeys immunized with *S. mutans* and protection against dental caries mounted antibody responses

especially against the complete molecule rather than against AgII, which corresponds to the C-terminal one-third. These results were supported by the finding that immunization with either complete AgI/II, or the isolated AgI component (corresponding to the N-terminal two-thirds), afforded protection against caries. Thus, one approach to immunization against *S. mutans*-induced dental caries can be based upon the generation of an appropriate antibody response in the saliva that would inhibit the adherence of *S. mutans* to tooth surfaces. Human secretory IgA (S-IgA) antibodies to AgI/II inhibit such adherence. However, S-IgA antibodies in saliva and other secretions are not effectively induced by conventional parenteral immunization.

S-IgA antibodies are most effectively induced by stimulating the common mucosal immune system, for example, by enteric immunization which stimulates the gut-associated lymphoid tissues including the Peyer's patches (PP) of the small intestine. Considerable attention has been given to the development of improved procedures for the oral delivery of vaccines, one of which is coupling antigens to the nontoxic binding B subunit of cholera toxin (CT), a safe and highly immunogenic protein in humans. CTB, because of its avid binding to  $G_M 1$  ganglioside, present on all nucleated cell surfaces, is readily taken up by the M cells covering PP, and passed to the underlying immunocompetent cells which initiate the mucosal IgA antibody response. Antigen-stimulated IgA-committed B cells, and corresponding T helper cells, then emigrate via draining lymphatics to the mesenteric lymph nodes (MLN) and thence via the thoracic duct to the circulation before relocating in the effector sites of mucosal immunity, such as the salivary glands. Terminal differentiation of B cells into IgA-secreting plasma cells occurs here and their product, polymeric IgA is transported through the glandular epithelium to form S-IgA. Other antigens can be coupled to CTB to generate strong mucosal IgA antibody responses to the desired antigen and that intact CT, though toxic, serves as an adjuvant that enhances the response to co-administered antigens.

The expression of foreign genes encoding immunogens of interest in avirulent derivatives of *Salmonella typhimurium* is

used as a strategy to induce mucosal immune responses to protein Ags which are usually poor oral immunogens when administered alone. Indeed, *S. typhimurium* appears to be an effective antigen delivery system because of its ability to colonize the gut-associated lymphoid tissue where secretory IgA responses are initiated (1). Electron microscopy studies have shown that *S. typhimurium* preferentially interacts with the specialized antigen-sampling M cells overlying the Peyer's patches in the GALT (2). At these sites, antigenic stimulation of specific IgA-committed B cells results in their migration to mucosal tissues where they differentiate into IgA-secreting plasma cells, with subsequent release of secretory IgA antibodies in external secretions (3). These antibodies play an important role in the defense of mucosal surfaces, e.g., of the gastrointestinal and respiratory tracts, by inhibiting microbial adherence and colonization or invasion (4). Depending on the species and host, *Salmonella* organisms may disseminate to the spleen, the liver, and regional lymph nodes, take residence in macrophages, and thereby induce serum antibody and cellular immune responses (1).

The issue of whether CTB alone has mucosal adjuvant properties has been questioned especially for oral immunization (14, 19), although CTB confers a targeting property to Ags coupled to it because of its affinity for GM<sub>1</sub> ganglioside receptors (20). If CTB possesses immunoenhancing properties, other than its carrier/targeting effect, it could also be useful as a *Salmonella*-expressed adjuvant, especially for proteins that are poor immunogens even when delivered by *S. typhimurium*. A commercially obtained CTB preparation, lacking detectable cAMP-elevating capacity, was found to potentiate *in vitro* antibody production against an unrelated protein antigen by stimulating the antigen-presenting function of splenic adherent cells through enhanced IL-1 production (21). An enhancing effect on antigen presentation by macrophages was also demonstrated for recombinant (r)CTB (22), which, moreover, up-regulates expression of MHC class II molecules on B cells, which can also act as antigen-presenting cells (23). The fact that commercially available CTB is contaminated with small but variable amounts of intact CT may explain conflicting reports on the adjuvant capacity

of CTB (14) as well as findings that commercial CTB is superior to rCTB as an adjuvant for intranasal (i.n.) immunization (24, 25).

The prior art is deficient in the lack of effective mucosal immunogens, for use in, e.g., a caries vaccine. The present invention fulfills this longstanding need and desire in the art.

### SUMMARY OF THE INVENTION

The present invention demonstrates that primary oral immunization of mice with a bacterial protein antigen genetically coupled to the A2/B subunits of cholera toxin induced specific secretory immunoglobulin A and serum IgG antibodies that persisted at substantial levels for at least 11 months. A subsequent single booster immunization did not further enhance the antibody responses. Long-term antibody persistence may be especially important in infections caused by common pathogens for which continuous immunity would be advantageous.

The present invention further shows that a major adhesin from the oral pathogen *Streptococcus mutans* is mucosally immunogenic upon genetic fusion with the cholera toxin A2/B subunits. To take advantage of the ability of *Salmonella typhimurium* to deliver cloned antigens to the mucosal inductive sites that would obviate the need for antigen purification, this chimeric construct was expressed in an attenuated *S. typhimurium* strain under the control of bacteriophage T7 transcription. Residual expression of the temperature-regulated T7 RNA polymerase at 30°C allowed production of the chimeric protein at 2-3% of the total soluble protein, but it was increased 5-6 times following induction at 37°C. Oral administration of a single dose of  $10^9$  recombinant *Salmonella* to mice resulted in serum IgG and salivary IgA antibody responses to *Salmonella*, cholera toxin, and the streptococcal adhesin, which were generally enhanced after a booster immunization.

The present invention also discloses an avirulent *Salmonella typhimurium* vaccine strain expressing a streptococcal protein adhesin, and a similar clone which produces the same streptococcal antigen linked to the cholera toxin A2/B subunits, which were compared for their ability to induce antibody

responses to the expressed heterologous antigen after oral or intranasal immunization of mice. Expression of cloned immunogens in these systems is temperature-regulated, being optimal at 37°C, and the two clones produced similar levels of the streptococcal antigen. Both clones were found to stimulate high levels of serum IgG and mucosal IgA antibodies to the cloned immunogen. A consistent trend was observed towards higher mucosal IgA but lower serum IgG responses in the case of the *S. typhimurium* vector that co-expressed CTA2/B, a potential mucosal adjuvant, regardless of the route of administration. Also noteworthy was the capacity of these antigen-delivery systems to induce anamnestic mucosal and systemic responses to the cloned immunogen 15 weeks after the primary immunization, despite pre-existing immunity to the *Salmonella* vectors. Although the serum IgG response against the *Salmonella* vector was characterized by a high IgG2a/IgG1 ratio (indicative of the Th1/Th2 profile), a mixed IgG1 and IgG2a pattern was observed for the carried heterologous antigen, which displayed a dominant IgG1 response when administered as a purified immunogen. The present invention indicates that the recombinant streptococcal antigen and CTA2/B are strong immunogens when expressed by the antigen-delivery system, and that CTA2/B may have an additional immunoenhancing activity in the mucosal compartment besides its ability to target antigen uptake into the mucosal inductive sites and, therefore, may be useful as a *S. typhimurium*-cloned adjuvant for co-expressed protein Ags.

Other and further aspects, features, and advantages of the present invention will be apparent from the following description of the presently preferred embodiments of the invention given for the purpose of disclosure.

### BRIEF DESCRIPTION OF THE DRAWINGS

So that the matter in which the above-recited features, advantages and objects of the invention, as well as others which will become clear, are attained and can be understood in detail, more particular descriptions of the invention briefly summarized above may be had by reference to certain embodiments thereof



which are illustrated in the appended drawings. These drawings form a part of the specification. It is to be noted, however, that the appended drawings illustrate preferred embodiments of the invention and therefore are not to be considered limiting in their scope.

Figure 1 shows the persistence of serum IgG antibody to AgI/II and CT after peroral immunization of mice with SBR-CT $\Delta$ A1 chimeric protein (^^^), and a single booster immunization 11 months later (^). Mice were given the immunogen in the presence (Figure 1A and Figure 1C) or absence (Figure 1B and Figure 1D) of CT adjuvant. Data are presented for each mouse individually.

Figure 2 shows the duration of salivary IgA antibody to AgI/II and CT following peroral immunization of mice with SBR-CT $\Delta$ A1 chimeric protein (^^^), and a single booster immunization 11 months later (^). Mice were given the immunogen in the presence (A and C) or absence (B and D) of CT adjuvant. Data are presented for each mouse individually.

Figure 3 shows the SDS-PAGE analysis of inclusion bodies produced under different induction conditions by *S. typhimurium* expressing the SBR-CT $\Delta$ A1 chimeric protein. A related strain lacking SBR-CT $\Delta$ A1 was used as a negative control. Two prominent bands migrating at about 60 kDa and about 14 kDa present in the expressing strain after 42°C induction (and to a lesser extent after 37°C induction) correspond to the Mr of SBR-CTA2 and CTB (monomer), respectively. The CTB component of purified SBR-CT $\Delta$ A1 ran relatively faster (Mr~11.5 kDa) due to processing of the precursor polypeptide by signal peptidase during transport to the periplasmic space, while an additional band from SBR-CTA2 may represent a degradation product.

Figure 4 shows the serum IgG (A) and salivary IgA (B) antibody responses to *S. typhimurium* and cloned antigens in mice orally immunized with 10<sup>9</sup> bacteria on weeks 0 and 7 (^). Immune response data for weeks 5, 8 and 10 represent geometric means  $\pm$  standard deviation of five mice. Pooled samples were assayed at other time points.

Figure 5 shows the serum IgG (a) and salivary IgA (b) antibody responses to AgI/II in unimmunized mice and mice immunized once, twice, or three times with SBR, SBR-CTA2/B, or

SBR-CTA2/B plus CT adjuvant. Immunizations were given on days 0, 10, and 20, and samples were collected 10 days after each immunization, i.e., on days 0 (unimmunized mice), 10 (one dose), 20 (2 doses), or 30 (3 doses). Results shown are mean  $\pm$  SD of samples from 3 animals analysed separately. Salivary IgA antibodies were below detectable levels ( $<0.1 \mu\text{g/ml}$ ) on days 0 and 10, and are shown at this level in (b).

Figure 6 shows the proliferative responses of cells from PP, MLN, and spleens of unimmunized (control) mice and mice immunized once, twice, or three times with SBR, SBR-CTA2/B, or SBR-CTA2/B plus CT adjuvant, cultured *in vitro* with AgI/II. Results shown are mean stimulation indices of 3 replicate cultures; SD values ranged from  $\sim 0.04$  to  $\sim 0.95$ .

Figure 7 shows the phenotypic analysis of cells from PP, MLN, peripheral blood, and spleens of unimmunized mice and mice immunized once, twice, or three times with SBR, SBR-CTA2/B, or SBR-CTA2/B plus CT adjuvant. Each 'pie' shows the proportions of  $\text{CD4}^+$ ,  $\text{CD8}^+$ , and  $\text{CD3}^-$  (non-T) cells as a percentage of total gated mononuclear cells determined by flow cytometry, starting with unimmunized mice (center of each 'pie'), and proceeding outwards in concentric rings with mice immunized once, twice, or three times. Numbers within the rings are the % of each phenotype of cells (for clarity,  $\text{CD8}^+$  cell data are shown outside the 'pies' in descending order: 0, 1, 2, and 3 doses); the value shown for MLN from mice immunized once with SBR (marked as "51?") was not obtained experimentally, but was inserted for plotting purposes as the average of the values either side of it. The numbers in each ring of a 'pie' do not sum to exactly 100%, because of the presence of some  $\text{CD4}^-/\text{CD8}^-$  ("double-negative")  $\text{CD3}^+$  T cells, and possibly some  $\text{CD4}^+/\text{CD8}^+$  ("double-positive") T cells in each cell preparation.

Figure 8A shows a schematic representation of the plasmids used to transform SBR- $\text{CT}^{\Delta\text{A1}}$ - or SBR-expressing *S. typhimurium* clones. Figure 8B shows a western blotting of cell lysates from the SBR- $\text{CT}^{\Delta\text{A1}}$ - and the SBR-producing clones using antibodies to SBR detected the SBR-CTA2 fusion protein and the SBR, respectively. The control lane is a lysate from a clone transformed with the pGP1-2 plasmid only.

Figure 9 shows the serum IgG antibody responses to native AgI/II in mice orally immunized (^) on weeks 0 and 15 with SBR- or SBR-CT $\Delta$ A1-expressing *S. typhimurium* clones. During week 0 mice were immunized with one, two, or three doses of 10<sup>9</sup> CFU of the appropriate *S. typhimurium* clone. At 15 weeks the animals were given a single dose of 10<sup>10</sup> CFU. Data represent geometric means x/(SD of 5 to 6 mice. For clarity only the upper or lower SD bars are shown.

Figure 10 shows the serum IgG (Figure 10A), salivary IgA (Figure 10B), and intestinal IgA (Figure 10C) antibody responses to CT and *S. typhimurium* vector after oral immunization (^) of mice with SBR- or SBR-CT $\Delta$ A1-producing *Salmonella* clones on weeks 0 and 15. Mice were immunized with two doses of 10<sup>9</sup> CFU of the appropriate *S. typhimurium* clone during week 0, and were given a single dose of 10<sup>10</sup> CFU 15 weeks later. Results are shown as geometric means x/+ SD of 5 to 6 mice. Data for weeks 3 to 7 (panels B and C) were obtained by assaying pooled samples from 5 to 6 mice per corresponding group. For clarity only the upper or lower SD bars are shown.

Figure 11 shows the serum IgG antibody responses to AgI/II (left) and CT and *Salmonella* vector (right) in mice immunized (^) by the i.n. route on weeks 0 and 15 with SBR- or SBR-CT $\Delta$ A1-expressing *S. typhimurium* clones. During week 0 mice were immunized with three doses of 10<sup>8</sup> CFU of the appropriate *S. typhimurium* clone and 15 weeks later they were given a single dose of 10<sup>9</sup> CFU. Data are expressed as the geometric means x/(SD of 6 mice.

Figure 12 shows the salivary IgA antibody responses to AgI/II in mice orally immunized (^) on weeks 0 and 15 with SBR- or SBR-CT $\Delta$ A1-expressing *S. typhimurium*. During week 0 mice were immunized with one, two, or three doses of 10<sup>9</sup> CFU of the appropriate *S. typhimurium* clone. At 15 weeks the animals were given a single dose of 10<sup>10</sup> CFU. Results are the geometric means x/(SD of 5 to 6 mice. Data for weeks 3, 5, and 7 in groups which received one or two primary doses, were obtained by assaying pooled samples from 5 to 6 mice per corresponding group.

Figure 13 shows the salivary IgA antibody responses to AgI/II (left) and CT and *Salmonella* vector (right) after i.n. immunization (^) of mice on weeks 0 and 15 with SBR- or SBR-CT $\Delta$ A1-expressing *S. typhimurium* clones. During week 0 mice were immunized with three doses of 10<sup>8</sup> CFU of the appropriate *S. typhimurium* clone and 15 weeks later they were given a single dose of 10<sup>9</sup> CFU. Data represent geometric means x/(SD of 6 mice).

Figure 14 shows the serum IgG (A) and salivary IgA (B) antibody levels to AgI/II induced by either i.n. or i.g. immunization (^) of mice on weeks 0 and 15 with *S. typhimurium* expressing SBR alone or SBR-CT $\Delta$ A1 chimeric protein. The animals were given a single primary immunization of 10<sup>9</sup> CFU (i.n.) or 10<sup>10</sup> CFU (i.g.) followed by a booster immunization with the same dose 15 weeks later. Data were obtained by assaying pooled samples from 3 mice per corresponding group.

Figure 15 shows the intestinal IgA antibody responses to AgI/II in mice orally immunized (^) on weeks 0 and 15 with *S. typhimurium* clones expressing SBR or SBR-CT $\Delta$ A1. During week 0 mice were immunized with one, two, or three doses of 10<sup>9</sup> CFU of the appropriate *S. typhimurium* clone. At 15 weeks the animals were given a single dose of 10<sup>10</sup> CFU. Results are the geometric means x/(SD of 5 to 6 mice. Data for weeks 5 and 7 were obtained by assaying pooled samples from 5 to 6 mice per corresponding group.

Figure 16 shows the intestinal IgA antibody responses to AgI/II (left) and CT and *S. typhimurium* (right) after i.n. immunization (^) of mice on weeks 0 and 15 with *S. typhimurium* vectors producing SBR alone or SBR-CT $\Delta$ A1 chimeric molecule. During week 0 mice were immunized with three doses of 10<sup>8</sup> CFU of the appropriate *S. typhimurium* clone and 15 weeks later they were given a single dose of 10<sup>9</sup> CFU. Data represent geometric means x/(SD of 6 mice.

Figure 17 shows the serum IgG antibody responses to native AgI/II in intranasally immunized rats. The animals were immunized three times at 14-day intervals with 25  $\mu$ g of recombinant B subunit of cholera toxin (rCTB) or with 50  $\mu$ g of the appropriate immunogen genetically or chemically linked to rCTB (see group definition) in the presence or absence of an adjuvant

amount (1  $\mu\text{g}$ ) of cholera toxin (CT). Data are from samples obtained two weeks after the last immunization and represent geometric means  $\pm$  standard deviation (SD).

5 Figure 18 shows the serum IgG antibody responses to recombinant SBR (42-kDa saliva-binding region at the N-terminal of AgI/II) in intranasally immunized rats. The animals were immunized three times at 14-day intervals with 25  $\mu\text{g}$  of rCTB or with 50  $\mu\text{g}$  of the appropriate immunogen genetically or chemically linked to rCTB (see group definitions) in the presence  
10 or absence of an adjuvant amount (1  $\mu\text{g}$ ) of CT. Data are from samples obtained two weeks after the last immunization and represent geometric means  $\pm$  SD.

15 Figure 19 shows the serum IgG antibody responses to AgII (C-terminal one-third of AgI/II) in intranasally immunized rats. The animals were immunized three times at 14-day intervals with 25  $\mu\text{g}$  of rCTB or with 50  $\mu\text{g}$  of the appropriate immunogen genetically or chemically linked to rCTB in the presence or absence of an adjuvant amount (1  $\mu\text{g}$ ) of CT. Data are from samples obtained two weeks after the last immunization and  
20 represent means  $\pm$  SD.

Figure 20 shows the serum IgG antibody responses to CT in intranasally immunized rats. The animals were immunized three times at 14-day intervals with 25  $\mu\text{g}$  of rCTB or with 50  $\mu\text{g}$  of the appropriate immunogen genetically or chemically linked to  
25 rCTB (see group definition) in the presence or absence of an adjuvant amount (1  $\mu\text{g}$ ) of CT. Data are from samples obtained two weeks after the last immunization and represent geometric means  $\pm$  SD.

30 Figure 21 shows the salivary IgA antibody responses to native AgI/II in intranasally immunized rats. The animals were immunized three times at 14-day intervals with 25  $\mu\text{g}$  of recombinant B subunit of cholera toxin (rCTB) or with 50  $\mu\text{g}$  of the appropriate immunogen genetically or chemically linked to rCTB (see group definition) in the presence or absence of an adjuvant  
35 amount (1  $\mu\text{g}$ ) of cholera toxin (CT). Data are samples obtained two weeks after the last immunization and represent geometric means  $\pm$  SD.

Figure 22 shows the salivary IgA antibody responses to recombinant SBR (42-kDa saliva-binding region at the N-terminal of AgI/II) in intranasally immunized rats. The animals were immunized three times at 14-day intervals with 25  $\mu$ g of rCTB or with 50  $\mu$ g of the appropriate immunogen genetically or chemically linked to rCTB (see group definition) in the presence or absence of an adjuvant amount (1  $\mu$ g) of CT. Data are samples obtained two weeks after the last immunization and represent geometric means  $\pm$  SD.

Figure 23 shows the salivary IgA antibody responses to AgII (C-terminal one-third of AgI/II) in intranasally immunized rats. The animals were immunized three times at 14-day intervals with 25  $\mu$ g of rCTB or with 50  $\mu$ g of the appropriate immunogen genetically or chemically linked to rCTB (see group definition) in the presence or absence of an adjuvant amount (1  $\mu$ g) of CT. Data are from samples obtained two weeks after the last immunization and represent geometric means  $\pm$  SD.

Figure 24 shows the salivary IgA antibody responses to CT in intranasally immunized rats. The animals were immunized three times at 14-day intervals with 25  $\mu$ g of rCTB or with 50  $\mu$ g of the appropriate immunogen genetically or chemically linked to rCTB (see group definition) in the presence or absence of an adjuvant amount (1  $\mu$ g) of CT. Data are from samples obtained two weeks after the last immunization and represent geometric means  $\pm$  SD.

	<u>Group Abbreviations</u>	<u>Groups Defined</u>
	INF	Unimmunized controls
	B	rCTB
	B/CT	rCTB + CT
30	CHIM	SBR-CT $\Delta$ A1
	CHIM/CT	SBR-CT $\Delta$ A1 + CT
	SBR/B	SBR-rCTB
	SBR/B/CT	SBR-rCTB + CT
	II/B	AgII-rCTB
35	II/B/CT	AgII-rCTB + CT
	I/II/B	AgI/II-rCTB
	I/II/B/CT	AgI/II-rCTB + CT

## DETAILED DESCRIPTION OF THE INVENTION

The following abbreviations may be used herein:  
GALT: gut-associated lymphoid tissue; CT: cholera toxin; CTA2/B:  
5 cholera toxin subunits A2/B; CTB: cholera toxin subunit B;  
rCTB: recombinant CTB; i.n.: intranasal; i.g.: intragastric.

As used herein, the term "fusion protein" refers to a  
single contiguous protein produced by the expression of DNA  
sequences for one protein fused to DNA sequences encoding a  
10 different protein.

As used herein, the term "chimeric protein" refers to a  
fusion protein assembled with a different protein.

The present invention is directed to a composition of  
matter comprising a novel plasmid for use as a mucosal  
15 immunogen to prevent or inhibit the formation of dental caries.  
This recombinant plasmid expresses a chimeric protein which is a  
primary immunogen that induces long term antibody responses.  
Production of the chimeric protein is optimal at physiological  
temperatures, i.e., 37°C.

20 In one embodiment of the present invention, the  
chimeric protein immunogen is constructed by fusing a large  
segment of a protein antigen, e.g., Salivary Binding Protein (SBR)  
from *Streptococcus mutans* surface protein (AgI/II) to the A2  
subunit of cholera toxin, and assembling this with cholera toxin B  
25 subunits to form the chimeric protein. This is designated SBR-  
CTA2/B, or SBR-CT<sup>ΔA1</sup>. The latter designation refers to the  
deletion the A1 subunit of cholera toxin (which is the actual toxic  
component) from the genetic construct, and its replacement by  
SBR. "SBR-CTA2/B" is a shorthand molecular formula for the  
30 chimeric protein.

Initially, SBR-CTA2/B was produced in *Escherichia coli*,  
and the purified chimeric protein, was immunogenic by oral or  
intranasal administration with the generation of serum and  
salivary antibodies which can last for up to at least 11 months in  
35 mice. The duration of antibody responses is novel, and not  
predictable or expected. The establishment of regulatory T cells is  
in part intended to build a case for the generation of long-term  
memory within the mucosal immune system, because that is not

'expected' insofar as is widely held that memory is limited in the mucosal immune system. The chimeric protein of the present invention, when expressed in attenuated *Salmonella typhimurium* produces significant increases in serum IgG and salivary IgA antibody levels after oral immunization.

5 A second embodiment of the present invention is the expression of SBR-CTA2/B in *Salmonella typhimurium* for delivery in a live carrier (attenuated) organism. The advantages of this construct are that there is no need to purify the product, and that a slightly different spectrum of immune responses may be obtained, with beneficial applications in some diseases.

10 In another embodiment of the present invention, the recombinant plasmid contains a salivary binding protein-cholera toxin A2/B chimeric protein expressed in *E. coli*. Intra-gastric immunization of salivary binding protein coupled to CTB in this chimeric protein form leads to increased antigen responsive T cells.

In another embodiment of the present invention, the recombinant plasmid contains a salivary binding protein-cholera toxin<sup>AA1</sup> chimeric protein expressed in *Salmonella typhimurium*. Oral immunization using this recombinant plasmid results in increased serum IgG responses to antigen. Oral immunization using this recombinant plasmid also resulted in increased salivary IgA antibody responses to antigen.

20 There are many potential uses for the technology of the present invention in mucosal vaccine development. The basic method is amenable to almost any other protein antigen that can be cloned and inserted into the construct instead of SBR. For example, a protein antigen from *Streptococcus pneumoniae* can be used to make a potential vaccine against pneumonia. Similarly, constructs from group A streptococcal proteins or a vaccine against *Helicobacter pylori* can be prepared using the methodology disclosed in the instant specification. Various applications of the present invention can be incorporated into commercial products, i.e., vaccines for the generation of immune responses that would afford protection against infections, or various modifications of the immune response. These are based on the use of CTA2/B chimeric proteins that include protein segments



from a variety of microorganisms, intended for administration orally or intranasally, or possibly by other mucosal routes (e.g., rectally or intra-vaginally).

For example, one may prepare vaccines to generate  
5 immunity to the organisms responsible for dental caries, i.e., the "mutans" streptococci (*Streptococcus mutans* and *Streptococcus sobrinus*). This is based on the saliva-binding region of *S. mutans* AgI/II, as described above. Secondly, one may prepare vaccines against *Streptococcus pyogenes* ("strep. throat and its sequelae  
10 including acute rheumatic fever and acute glomerulonephritis, scarlatina, streptococcal toxic shock, and other infections). Further, one may prepare: vaccines against *Streptococcus pneumoniae* (pneumococcal pneumonia, otitis media, meningitis) using sequences from pneumococcal surface proten A (PspA),  
15 vaccines against: a) *neisseria meningitidis* (meningococcal meningitis, otitis media) using neisserial surface protein A (NspA-men); b) *Neisseria gonorrhoeae* (gonorrhea) using neisserial surface protein A (NspA-gon); c) *Streptococcus pneumoniae* (pneumococcal pneumonia, otitis media, meningitis)  
20 using other pneumococcal protein antigen; d) vaccines against *Streptococcus equi* ("strangles" in horses) using a *Streptococcus equi* surface protein; e) vaccines against influenza virus  
*helicobacter pylori* (gastric ulcer), respiratory pathogens including *Pseudomonas aeruginosa*, f) contraceptive vaccines using zona  
25 pellucida antigens; g) vaccine against respiratory syncytial virus; h) generation of "oral tolerance" to auto-antigens (auto-immune conditions); i) vaccines against mycoplasma infections; and j) vaccines against *Staphylococcus aureus* protein A.

In yet another embodiment, the vaccine construction  
30 technology of the present invention can be used to generate immunity mediated by so-called cytotoxic T cells instead of antibodies. This methodology would have applications especially against viral infections.

Thus, the present invention is directed to a plasmid  
35 capable of replication in a host which comprises, in operable linkage: a) an origin of replication; b) a promoter; and c) DNA sequences encoding the A2 subunit of cholera toxin. In addition, the plasmid may further comprise DNA sequences encoding

subunit B of cholera toxin fused to the A2 subunit of cholera toxin. One such preferred plasmid is pCT $\Delta$ A1. In another embodiment, the plasmid further comprises salivary binding protein (SBR) from *Streptococcus mutans* surface protein (AgI/II) fused to the A2 subunit of cholera toxin. One such preferred plasmid is designated pSBR-CTA2/B or pSBR-CT $\Delta$ A1.

In another embodiment, the present invention is directed to a plasmid capable of replication in a host which comprises, in operable linkage: a) an origin of replication; b) a promoter; and c) DNA sequences encoding the A2 subunit of cholera toxin, further comprising DNA sequences encoding an antigen of interest fused to DNA sequences encoding the A2 subunit of cholera toxin. In addition, there is provided a capable of replication in a host which comprises, in operable linkage: a) an origin of replication; b) a promoter; and c) DNA sequences encoding the A2 subunit of cholera toxin further comprising DNA sequences encoding an antigen of interest fused to DNA sequences encoding the A2 subunit of cholera toxin. This plasmid may further comprise salivary binding protein (SBR) from *Streptococcus mutans* surface protein (AgI/II) fused to the A2 subunit of cholera toxin. The present invention also relates to chimeric proteins and fusion proteins produced by the plasmids of the present invention.

In another embodiment, the present invention is directed to an attenuated bacterial strain containing a plasmid of the present invention. In a preferred embodiment, the bacterial strain is *Salmonella*.

In another embodiment, the present invention is directed to a method of producing an immune response to a protein antigen of interest in an individual in need of such treatment, comprising the step of administering to said individual a pharmacologically effective dose of a chimeric protein of the present invention. The protein may be administered by a route selected from the group consisting of orally, intranasally, intrarectally, intravaginally, intramuscularly, and subcutaneously. Preferably, the immune response results in the production of antibodies to the protein antigen sequence in a bodily fluid selected from the group consisting of saliva, intestinal secretions,

respiratory secretions, genital secretions, tears, milk and blood. Preferably, the immune response is selected from the group consisting of development of antigen-specific T cells in the circulation and tissues of said individual, the development of  
5 cytotoxic T cells and immunological tolerance to the protein antigen sequence.

The following examples are given for the purpose of illustrating various embodiments of the invention and are not meant to limit the present invention in any fashion.

10

### EXAMPLE 1

One object of the present invention was to demonstrate the duration of antibody responses to the AgI/II adhesin after oral immunization of mice with SBR-CT $\Delta$ A1 about 1  
15 year earlier. One group consisted of five mice previously given three doses of 100  $\mu$ g of SBR-CT $\Delta$ A1 together with 5  $\mu$ g of intact CT as an adjuvant (except for animal #5 in Figures 1 and 2, panels A and C, the mice were given the dose adsorbed on Al(OH)<sub>3</sub>, which was shown to enhance serum IgG antibody responses after oral  
20 immunization).

A second group comprised three similarly treated mice with the exception that they were immunized in the absence of intact CT. A third group consisting of six mice which were sham immunized with buffer only were used as naive controls. Saliva  
25 and serum samples were collected 11 months after the last dose of the primary immunization and all three groups of mice were subsequently given 100  $\mu$ g of SBR-CT $\Delta$ A1 by gastric intubation. CT adjuvant (5  $\mu$ g) was co-administered to those mice that had also received CT during the primary immunization, and to half of  
30 the naive control animals. Samples of saliva and serum were collected again 7 days after the booster immunization and antibody responses were evaluated by ELISA on plates coated with native AgI/II and CT. Unknown antibody concentrations were calibrated against mouse immunoglobulin reference serum  
35 standards assayed simultaneously in the same microtiter plate. Results were evaluated by Student's *t* test using the MultiStat program (Biosoft, Cambridge, UK) with a Macintosh computer. Differences were considered significant at the  $P < 0.05$  level.

Substantial levels of serum IgG (Figure 1) and salivary IgA (Figure 2) antibodies to AgI/II and CT persisted at least until day 357, although lower than immediately after immunization (day 28), even in mice that did not receive an adjuvant dose of intact CT (Figure 1 B and D plus Figure 2 B and D). During the same period, the six sham-immunized mice did not develop detectable serum or salivary antibody responses, except two animals that showed trace levels of salivary IgA to AgI/II (0.15 and 0.12 % antibody/total IgA). However, the response of the sham-immunized group ( $0.05 \pm 0.07$  % antibody/total IgA) was significantly less ( $P < 0.05$ ) than the salivary responses in either of the immunized groups ( $0.82 \pm 0.56\%$  antibody/total IgA [Figure 2A] and  $0.51 \pm 0.27\%$  antibody/total IgA [Figure 2B]). The prolonged duration of antibody responses might be explained by persisting antigen providing continuous low-level stimulation of memory cells. The mechanism of antigen persistence may involve follicular dendritic cells which bind antigen-antibody complexes via cell surface Fc receptors and slowly release them over long periods. Alternatively, the existence of molecules cross-reacting with AgI/II (or cross-reactive enterotoxins in the case of CT) cannot be ruled out, although *S. mutans* is not a natural inhabitant of the murine oral cavity.

A recall response was not observed in serum after the oral booster immunization (Figure 1), as the antibody responses to AgI/II and CT before and immediately after the booster immunization were not significantly different. However one mouse (#3 in Figure 1C) that had the lowest antibody levels to CT showed a remarkable 16-fold increase resulting in a higher final response than was observed shortly after the primary immunization. This mouse also showed an enhanced anamnestic IgG response to AgI/II which was 13 times higher than observed immediately prior to the boost (Figure 1A). This finding suggests that anamnestic responses are not readily elicited in the presence of a relatively high persisting antibody response. As expected, naive mice developed a poor IgG antibody response to AgI/II or CT upon challenge with one dose of SBR-CT $\Delta$ A1.

An enhanced salivary IgA anamnestic response was not observed in these mice following the oral booster

immunization, even when CT was used as an adjuvant (Figures 2A and 2C). Trace levels of salivary antibody to AgI/II observed in two of the six naive mice were not altered after the single booster immunization, while the remaining mice did not develop any salivary response. It appears that, after peroral immunization, the anamnestic response in the salivary glands may depend on recruitment of memory cells from the Peyer's patches or other mucosal induction sites, whereas the gut lamina propria may possess an additional source of memory represented by local memory cells that differentiate into plasma cells upon in situ activation by antigen adsorbed through intestinal epithelial cells. This might result in the memory response being manifested more readily at the gut lamina propria than at a remote effector site such as the salivary glands.

SBR represents an AgI/II adherence domain that mediates the binding of *S. mutans* to the saliva-coated tooth surfaces. S-IgA antibodies to the whole AgI/II molecule inhibit *S. mutans* adherence *in vitro* as well as *S. mutans* colonization and dental caries development *in vivo*. Since *S. mutans* infects more than 95% of the human population and caries is a common infectious disease, the continuous presence of salivary S-IgA as well as serum-derived IgG antibodies may be necessary to suppress an organism that is continually present in the oral cavity. The present invention shows that induction of long-term antibody responses is possible upon primary immunization with the SBR-CT $\Delta$ A1 chimeric protein. This is further supported by the finding that AgI/II-responsive T cells persist in cervical and mesenteric lymph nodes for now up to eleven months after immunization. This immunization strategy applied to other mucosal infections by linking candidate immunogens to CT $\Delta$ A1, may similarly elicit prolonged mucosal antibody responses.

## EXAMPLE 2

### Strain construction

SBR-CT $\Delta$ A1 was expressed in *S. typhimurium* BRD509, an *aroA*<sup>-</sup> *aroD*<sup>-</sup> oral vaccine strain after electrotransformation with plasmids pSBR-CT $\Delta$ A1 and pGP1-2 using a gene pulser (Biorad,

Richmond, CA) set at 2.5 kV, 25  $\mu$ F, and 200 Ohms. The former plasmid expresses SBR-CT $\Delta$ A1 under the inducible control of the bacteriophage T7 promoter, while the latter provides a source of T7 RNA polymerase that is temperature-regulated. Specifically, the T7 RNA polymerase is under the control of the 1  $P_L$  promoter that is regulated by the cI857 temperature-sensitive 1 repressor. Colonies transformed with both plasmids were selected on L-agar plates (1% tryptone, 0.5% yeast extract, 0.5% NaCl, 0.1% dextrose, 1.5% agar) supplemented with 50  $\mu$ g/ml carbenicillin plus 50  $\mu$ g/ml kanamycin (to select for pSBR-CT $\Delta$ A1 and pGP1-2, respectively). Transformants were examined for the presence of plasmids with sizes of 5.6 and 7.2 kilobases, corresponding to the size of pSBR-CT $\Delta$ A1 and pGP1-2, respectively.

15

### EXAMPLE 3

#### Target protein expression and localization

Colonies positive for both pGP1-2 and pSBR-CT $\Delta$ A1 were grown at 30°C in L-broth containing the appropriate antibiotics and target gene expression was induced at mid-log phase by a temperature shift to 42°C. After 30 minutes the cultures were returned to 30°C and incubation was continued for an additional 90 minutes. To determine expression of SBR-CT $\Delta$ A1, whole-cell lysates were examined by G<sub>M1</sub>-ELISA for the presence of a G<sub>M1</sub> ganglioside-binding soluble protein that would react with polyclonal antibodies to CTB or AgI/II, or with a monoclonal antibody specific for the SBR of AgI/II. The insoluble pellet was then processed and possible inclusion bodies were isolated, solubilized by boiling in sodium dodecyl sulfate (SDS) buffer (the amount used was proportional to the final absorbance at 600 nm of the corresponding cultures), and samples (3  $\mu$ l) analyzed by SDS-polyacrylamide gel electrophoresis (SDS-PAGE) on 12.5% gel. To determine whether SBR-CT $\Delta$ A1 is transported to the periplasm, periplasmic extracts were prepared by either the spheroplast formation method or by cold osmotic shock. The extracts obtained were essentially free of cytoplasmic contamination ( $\leq$ 2%) as determined by assaying the activity of glucose-6-phosphate dehydrogenase, a cytoplasmic marker enzyme. The total protein

content of the extracts was estimated by the bicinchoninic acid protein assay method (Pierce, Rockford, IL) using bovine serum albumin as the standard.

5

#### EXAMPLE 4

##### Oral immunization

The bacteria were grown at 30°C to an optical density at 600 nm of 0.5-0.6, harvested by centrifugation, and resuspended in a medium consisting of 4 parts Hank's balanced salt solution (Life Technologies Inc., Grand Island, NY) and 1 part sodium bicarbonate (7.5% solution; Mediatech, Washington, D.C.). The number of bacteria in the suspension was estimated by extrapolating from a growth curve and was confirmed by plating dilutions on L-agar plates (with or without the appropriate antibiotics) and enumerating the colonies grown after overnight incubation at 30°C. The immunizing dose ( $10^9$  colony-forming units in 0.25 ml) was administered to 10-week old BALB/c mice by intragastric intubation using a 22-gauge feeding tube (Popper and Sons Inc., Hyde Park, N.Y.). The animals were immunized on days 0 and 49 and sampled at weekly or bi-weekly intervals. Serum was obtained from tail vein blood samples and saliva was collected after stimulation of the salivary flow by intraperitoneal injection of 5  $\mu$ g carbachol. Serum IgG and salivary IgA antibodies were determined by ELISA on microtiter plates coated with AgI/II or GM<sub>1</sub> ganglioside followed by CT, while total salivary IgA concentrations were assayed on plates coated with antibodies to mouse IgA. Peroxidase-conjugated antibodies to mouse IgG or IgA were used as detection reagents (Southern Biotechnology Associates, Birmingham, AL). The amount of antibody/immunoglobulin in test samples was calculated by interpolation on standard curves generated using a mouse immunoglobulin reference serum and constructed by a computer program based on four parameter logistic algorithms (Softmax, Molecular Devices, Menlo Park, CA). Results were evaluated by Student's *t* test and differences were considered significant at the *P* < 0.05 level.

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#### EXAMPLE 5

## Results

Recombinant *S. typhimurium* BRD509 positive for both pGP1-2 and pSBR-CT $\Delta$ A1 was shown to produce a protein that bound the G<sub>M1</sub>-ganglioside receptor and possessed CTB and AgI/II epitopes, in contrast to the original BRD509 strain or clones containing either pGP1-2 or pSBR-CT $\Delta$ A1 alone (TABLE I). Since the pSBR-CT $\Delta$ A1 encodes for the signal peptides of CTB and SBR-CTA2, it was of interest to determine whether the chimeric protein was transported into the periplasm where assembly of its components takes place.

TABLE I

G<sub>M1</sub> Ganglioside-binding activity and antigenicity of soluble protein extracts<sup>a</sup> from recombinant *S. typhimurium* BRD509 clones  
ELISA value<sup>b</sup> of clone extract developed with

Plasmid	Anti-CTB	Anti-AgI/II	Anti-SBR
None	0.017	0.003	0.001
pGP1-2	0.009	0.017	0.008
pSBR-CT $\Delta$ A1	0.02	0.013	0.004
pGP1-2+pSBR-CT $\Delta$ A1	2.052	1.005	1.01

a=assayed at 20  $\mu$ g total protein/ml; b=mean optical density at 490 nm

To examine this, a calibrated G<sub>M1</sub>-ELISA standardized with purified SBR-CT $\Delta$ A1 was used to detect and quantify the chimeric protein in periplasmic and in whole-cell extracts under uninduced and induced (temperature shift from 30°C to 37°C or 42°C for 30 minute) conditions. As shown in TABLE II, SBR-CT $\Delta$ A1 was found in the periplasm of *S. typhimurium* BRD509 (pGP1-2 + pSBR-CT $\Delta$ A1) and of *E. coli* BL21(DE3) (pSBR-CT $\Delta$ A1) which contains a chromosomal copy of the T7 RNA polymerase gene under the control of the *lacUV5* promoter. The chimeric protein was not detected in the periplasm or whole-cell lysates of a



negative control clone lacking pSBR-CT $\Delta$ A1 (TABLE II). Although cellular location of the foreign antigen may affect the immune response, secretion of a protein into the periplasm may enhance its stability by preventing degradation.

5

TABLE II

Localization of SBR-CT $\Delta$ A1 Chimeric Protein in the Periplasmic Space

Strain	Induction	Periplasmic fraction		Whole Cell
		obtained by:		lysate
		Spheroplast formation	Osmotic Shock	
15 E. coli BL21 (DE3) (pSBR-CT $\Delta$ A1)	IPTG <sup>a</sup>	271 <sup>b</sup>	319	99.7
S. typ. BRD509 (pGP1-2)	42°C	0	0	0
20 S. typ. BRD509 (pGP1-2 + pSBR-CT $\Delta$ A1)	None	77.3	98.5	20.2
S. typ. BRD509 (pGP1-2 + pSBR-CT $\Delta$ A1)	37°C	239	314	119
25 S. typ. BRD509 (pGP1-2 + pSBR-CT $\Delta$ A1)	42°C	119	123	53.6

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30 a=isopropyl- $\beta$ -D-thiogalactoside      b= $\mu$ g SBR-CT $\Delta$ A1/mg protein in the extracts

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Under uninduced conditions, the chimeric protein was produced at about 20  $\mu$ g per mg of total soluble protein (TABLE II) or 7-9  $\mu$ g per 10<sup>9</sup> bacteria. This finding is likely due to residual expression of the T7 RNA polymerase. Indeed, the temperature-

sensitive  $\lambda$  repressor on pGP1-2 does not tightly repress the  $\lambda P_L$  promoter which consequently allows low-level production of the polymerase at 30°C. The amount of soluble chimeric protein increased several-fold following induction at 37°C, whereas at 42°C the increase was minimal (TABLE II) with concomitant accumulation of SBR-CT $\Delta A1$  in inclusion bodies (Figure 3). This suggests that at physiological body temperature (36-37°C) production of soluble chimeric protein may be optimal.

Recombinant *S. typhimurium* expressing SBR-CT $\Delta A1$  was routinely grown under uninduced conditions (30°C) at which it showed optimal growth. Although the SBR-CT $\Delta A1$ -expressing *S. typhimurium* strain does not possess a temperature-sensitive mutation, temperatures higher than 30°C cause gradual induction of the highly-efficient T7 RNA polymerase which may interfere with gene transcription by the host RNA polymerase. Additionally overexpression of the cloned chimeric protein at 37°C could interfere with bacterial growth. The strain was also found to be immunogenic since oral administration of  $10^9$  bacteria in mice resulted in serum IgG and salivary IgA antibody responses to *Salmonella* and native AgI/II and CT (Figure 4). An oral booster immunization 7 weeks later significantly enhanced the serum IgG response to AgI/II and CT ( $P < 0.05$ ) but not to *Salmonella*, the response to which reached high levels even before the secondary immunization (Figure 4A). Salivary IgA antibodies to *Salmonella* and the cloned antigens were detected 3 weeks after the primary immunization (Figure 4B). The salivary response to AgI/II approached the level of 1% specific IgA antibody/total IgA but was not enhanced after the booster immunization. This is in contrast to the response against CT or *Salmonella*, where the increase in the response to CT or *Salmonella* reached statistical significance at weeks 8 or 10, respectively.

The salivary IgA response to AgI/II ( $\approx 1\%$  antibody/total IgA) after a single oral immunization with *Salmonella* is similar to that observed after 3 doses of 100  $\mu$ g purified SBR-CT $\Delta A1$  in the absence of intact CT adjuvant. The immunizing dose ( $10^9$  bacteria) was estimated to contain 7-9  $\mu$ g of chimeric protein, but this probably does not reflect the amount of

SBR-CT $\Delta$ A1 delivered *in vivo*, which would largely depend on the extent of tissue colonization by *Salmonella*.

In the present invention, the SBR-CT $\Delta$ A1 chimeric protein was expressed in attenuated *S. typhimurium* and oral immunization with this recombinant strain resulted in serum IgG and salivary IgA antibody responses against *Salmonella* and the cloned antigens. Since the SBR segment of AgI/II plays an important role in *S. mutans* colonization, salivary IgA antibodies to SBR may confer protection against this oral pathogen.

10.

### EXAMPLE 6

#### Antigens

AgI/II was purified chromatographically from the culture supernatant of *S. mutans* essentially as described by Russell, et al., 1980, 28:486-493. The SBR-CTA2/B chimeric protein was constructed and expressed in *E. coli* and purified from extracts. In essence, this consisted of PCR-amplifying DNA for a 42-kDa segment encompassing the A-repeat region and some downstream sequence of AgI/II from the *pac* gene, ligating this in a modified pET20b(+) plasmid (Novagen, Inc., Madison WI) in frame with and upstream of the genes for CTA2 and CTB, and transforming the recombinant plasmid into *E. coli* BL21(DE3) cells (Novagen).

SBR polypeptide was obtained by excising the relevant DNA and religating it into unmodified pET20b(+) in order to express SBR with a 6-residue histidine sequence derived from the plasmid. This plasmid was also expressed in *E. coli* BL21(DE3), and SBR was purified from cell lysates by metal-chelation chromatography on a nickel-loaded column (Novagen), according to the manufacturer's instructions. CT and CTB were purchased from List Biological Laboratories, Inc. (Campbell CA).

### EXAMPLE 7

#### Animals and immunization

Adult BALB/c mice of either sex, 14 to 20 weeks old, from a pathogen-free colony, were used for all experiments. Groups of 9 mice were immunized i.g. 3 times at 10-day intervals by gastric intubation of either SBR-CTA2/B (100  $\mu$ g) alone, SBR-

CTA2/B together with 5 µg of CT as an adjuvant, or an equimolar amount of SBR (40 µg), all given in 0.5 ml of 0.35M NaHCO<sub>3</sub>. Serum and saliva samples were collected on day 0 and 10 days after each immunization for assay of antibodies by ELISA. In some experiments, subgroups of 3 mice were killed 10 days after each immunization, for the preparation of cells from PP, MLN, and spleens for T cell proliferation and flow cytometric analyses.

### EXAMPLE 8

#### 10 ELISA

Serum IgG and salivary IgA antibodies to AgI/II, and total salivary IgA concentrations were determined by ELISA, as described by Russell, et al., 1991, *Infect. Immun.* 59:4061-4070, on plates coated with AgI/II or anti-mouse IgA, respectively, and using goat anti-mouse IgG and IgA peroxidase conjugates as detection reagents (Southern Biotechnology Associates, Inc., Birmingham AL). Unknowns were interpolated on calibration curves constructed by a computer program based on four parameter logistic algorithms.

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### EXAMPLE 9

#### Preparation and culture of lymphoid cells

Single-cell suspensions were obtained by teasing PP, MLN, and spleen apart with needles, and tissue debris was removed by filtering through nylon mesh. Peripheral blood mononuclear cells were obtained by centrifugation on Histopaque 1083 (Sigma Diagnostic, St. Louis, MO). Remaining erythrocytes were lysed in buffered ammonium chloride, the cells were washed thrice in RPMI 1640 (Cellgro) medium supplemented with 2% fetal calf serum (FCS), and were finally resuspended 10% FCS/RPMI 1640. Cells were cultured in 10% FCS/RPMI 1640 supplemented with 1 mM sodium pyruvate (Cellgro), non-essential amino acids (Cellgro), 2 mM glutamine (Cellgro), 100 U/ml penicillin-streptomycin (Cellgro), 25 mM HEPES (Sigma), and 0.01 mM 2-mercaptoethanol (Sigma).

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### EXAMPLE 10

#### Flow cytometry

Cell marker expression on freshly isolated cells was determined by double-staining with biotinylated anti-CD4 (GK1.5) followed by avidin-phycoerythrin, and either FITC-conjugated anti-CD3 (145-2C11) or FITC-conjugated anti-CD8 (53-6.72), by incubating for 30 minutes at 4°C in 2% FCS/Dulbecco's PBS with 0.02% NaN<sub>3</sub>. Cells were washed, fixed in 1% paraformaldehyde overnight, and analysed on a FACStar IV flow cytometer (Becton-Dickinson).

10

### EXAMPLE 11

#### Proliferation assay

Cells from PP, MLN, and spleen were incubated at 10<sup>5</sup> cells/well (0.1 ml) in triplicate with a previously optimized concentration of AgI/II (0.5 µg/ml) for 5 days, and were pulsed with <sup>3</sup>[H]-thymidine (0.5 µCi/well) 8 hours before harvesting. Uptake of <sup>3</sup>[H] was counted by liquid scintillation counter. The stimulation index was calculated as: cpm (wells with AgI/II)/mean cpm (control wells).

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### EXAMPLE 12

#### Cytokine expression

The expression of cytokines by PP, MLN, and spleen cells after culture *in vitro* with or without AgI/II (0.1 µg/ml) for 24 hours was determined by a reverse-transcription and polymerase chain-reaction (RT-PCR) procedure for the amplification of cytokine mRNA. Cells (5-7 x 10<sup>6</sup>) were harvested from the cultures, washed thoroughly, and then lysed in 350 µl of lysing buffer for isolation of RNA using the RNeasy kit (Qiagen Inc., Chatsworth CA). RNA was redissolved in 40 µl of diethyl pyrocarbonate-treated water, and 2 µl samples were added to 18 µl of RT mixture (Perkin-Elmer, Foster City CA) containing 1x PCR buffer, 5 mM MgCl<sub>2</sub>, 1 mM of each deoxyribonucleoside triphosphate, 1 U/ml RNase inhibitor, 2.5 U/ml Moloney murine leukemia virus reverse transcriptase, and 2.5 mM Oligo d(T)<sub>16</sub>. Mixtures were overlaid with 50 µl of light mineral oil and incubated in a thermal cycler (Perkin-Elmer) for 15 minutes at 42°C, 45 minutes at 37°C, 5 minutes at 99°C, and 5 minutes at 4°C. After reverse transcription, 80 µl of PCR mixture (Perkin-Elmer)

was added to each tube to give final concentrations of 25 U/ml AmpliTaq DNA polymerase, 0.15  $\mu$ M 5' primer, 0.15  $\mu$ M 3' primer, 2 mM MgCl<sub>2</sub>, and 1x PCR buffer II. Primers specific for murine IFN- $\gamma$ , IL-2, IL-4, IL-5, IL-6, IL-10, and  $\beta$ -actin were obtained from Clontech Laboratories Inc. (Palo Alto, CA) or the Oligonucleotide Synthesis Core Facility of the UAB Comprehensive Cancer Center, and their specificity was verified by means of RT-PCR on RNA extracted from mitogen-stimulated mouse spleen cells. After heating at 95°C for 2 min, cDNA was amplified for 35 cycles consisting of: 45s at 94°C, 3 minutes at 72°C, and 2 minutes at 60°C. The products of amplification were analysed by 2% agarose gel electrophoresis, revealed by ethidium bromide staining, and photographed by UV transillumination. The results were scored according to the presence of a band of appropriate molecular size: -, no detectable band;  $\pm$ , very faint or uncertain band; +, clearly detectable band; ++, very strong band.

### EXAMPLE 13

#### Statistical methods

Quantitative results were evaluated by Student's t test, by means of MultiStat (Biosoft, Ferguson MO) on a Macintosh computer. Antibody data were transformed logarithmically to normalize their distribution and homogenize the variances.

### EXAMPLE 14

#### Antibody responses

I.g. immunization of mice with SBR, SBR-CTA2/B, or SBR-CTA2/B plus CT incrementally induced serum IgG and salivary IgA antibodies measured against whole AgI/II (Figure 5). Immunization with SBR alone resulted in weak but statistically significant ( $P < 0.001$  at all intervals) serum IgG antibody responses, and modest salivary IgA antibodies that were significantly elevated above background only after the second and third immunization ( $P < 0.001$  and  $P < 0.01$ , respectively). Administration of the SBR-CTA2/B chimeric protein generated significantly greater serum IgG responses ( $P < 0.001$ ), and co-administration of CT as an adjuvant further enhanced both the level and the earlier development of serum IgG antibodies. Salivary IgA antibodies

also tended to be elevated by immunization with SBR-CTA2/B chimeric protein especially when given with CT as adjuvant. However, because of variation between animals, statistical significance was attained only after 2 doses given with CT. 5 Nevertheless, the general pattern of results was in accordance with expectations based on responses to AgI/II alone or chemically conjugated to CTB, and administered i.g. without or with CT adjuvant. Total salivary IgA concentrations also increased in all animals during the immunization period, from 2.13 ±0.61 10 µg/ml in unimmunized animals to 5.92 ±0.64 µg/ml after 3 immunizations, but there were no significant differences between the immunization groups.

### EXAMPLE 15

#### 15 T cell proliferative responses

To show whether T cells capable of proliferating *in vitro* in response to stimulation with AgI/II had been induced by the first, second, or third i.g. dose, groups of 3 mice were killed 10 days after a first, second, or third immunization with each 20 immunogen preparation, and mononuclear cells from PP, MLN, and spleens were cultured with or without AgI/II. Incorporation of <sup>3</sup>[H]-thymidine expressed as stimulation indices revealed that AgI/II responsive cells were elicited in the lymphoid tissues associated with the intestine, incrementally with the number and 25 form of the immunogen doses (Figure 6). PP and MLN cells taken from mice given 2 or 3 doses of SBR or of SBR-CTA2/B alone showed modest proliferative responses to AgI/II *in vitro* (stimulation indices in the range 2.4-3.2; 5.44 for PP from mice given 3 doses of SBR-CTA2/B), whereas PP and MLN cells from 30 mice immunized with SBR-CTA2/B plus CT adjuvant showed proliferative responses after one dose (stimulation indices 2.3 and 3.6, respectively), and greater responses after 2 or 3 doses (stimulation indices 3.1-6.1). The proliferative responses of PP and MLN cells were different: MLN cells responded similarly to (or 35 less than) PP cells when taken from mice immunized with SBR or SBR-CTA2/B, but showed greater responses to AgI/II *in vitro* when taken from mice given AgI/II-CTA2/B plus CT. Spleen cells generally did not respond to stimulation with AgI/II *in vitro*

(stimulation indices <2), except for those taken from mice immunized once with SBR-CTA2/B plus CT (stimulation index = 2.8). Cells from the PP, MLN, or spleens of unimmunized mice did not proliferate in response to Ag/II *in vitro* (stimulation indices 1.2-1.5).

### EXAMPLE 16

#### T cell surface marker analysis

To elucidate the nature of the T cell responses to i.g. immunization, cells freshly isolated from PP, MLN, spleen, or peripheral blood of mice immunized once, twice, or three times with the different immunogens were analyzed by flow cytometry for the proportion of cells expressing T cell markers CD3 (all T cells), CD4 (T helper phenotype), or CD8 (T suppressor/cytotoxic phenotype). The results are shown in Figure 7. Among PP cells, there was an increase in the proportion of total T cells after each immunization that was most noticeable in animals immunized with SBR-CTA2/B or SBR-CTA2/B plus CT. This increase was mostly in the CD4<sup>+</sup> T helper population, whereas the CD8<sup>+</sup> T suppressor/cytotoxic population remained small. The MLN cell populations remained more stable, except in the case of cells from mice immunized with SBR-CTA2/B plus CT in which the CD4<sup>+</sup> population increased with the number of immunizations. MLN generally, however, contained more T cells of both phenotypes than PP, regardless of immunization status. Peripheral blood cells tended to show the greatest increases in the proportion of CD4<sup>+</sup> T cells after immunization, especially with SBR-CTA2/B plus CT, although these numbers must be interpreted with caution because of the small numbers of cells obtained. Spleen cells showed modest increases in the proportions of CD4<sup>+</sup> T cells after immunization in all groups.

### EXAMPLE 17

#### Cytokine expression

To elucidate the pattern of expression of cytokines, PP, MLN, and spleen cells were taken from mice immunized three times with SBR, SBR-CTA2/B, or SBR-CTA2/B plus CT 3 days after the last dose, cultured *in vitro* for 24 hours with or without



AgI/II, and examined for the presence of mRNA for IFN- $\gamma$ , IL-2, IL-4, IL-5, IL-6, and IL-10 by RT-PCR. After culture with AgI/II, PP, MLN, and spleen cells from mice immunized with SBR alone revealed mRNA for IFN- $\gamma$  and IL-2, but only PP and spleen cells  
 5 also revealed IL-4 mRNA, whereas IL-5 mRNA was detectable in all cell cultures regardless of stimulation (TABLE III). PP cells from mice immunized with SBR-CTA2/B, without or with CT adjuvant, did not reveal mRNA for IFN- $\gamma$  or IL-2, even after culture with AgI/II, and MLN cells from these animals revealed  
 10 variable IFN- $\gamma$  and IL-2 mRNA responses. However, PP, MLN, and spleen cells revealed IL-4 mRNA particularly after stimulation with AgI/II, whereas all cultures were positive for IL-5 mRNA. Likewise, mRNA for IL-6 and IL-10 was found in all cell cultures, regardless of immunization or *in vitro* stimulation. There was an  
 15 increase in IFN- $\gamma$  and IL-2 expression (in response to stimulation with AgI/II *in vitro*) in PP, MLN, and spleen cells from mice immunized 3 times with SBR alone relative to cells from mice immunized twice. Likewise, spleen cells from mice immunized 3 times with SBR-CTA2/B (without or with CT) showed increased  
 20 AgI/II-specific expression of IFN- $\gamma$ , IL-2, and IL-4 relative to twice-immunized mice. Cells from unimmunized mice did not respond in culture with AgI/II by the expression of IFN- $\gamma$ , IL-2, and IL-4 mRNA above that revealed in control cultures, except that spleen cells showed weak evidence of IFN- $\gamma$  expression on  
 25 culture with AgI/II. Thus, PP and MLN cells from mice immunized with SBR alone revealed type 1 (IFN- $\gamma$  and IL-2) as well as type 2 (IL-4) cytokine responses upon stimulation *in vitro*, whereas cells from the same organs of mice immunized with SBR-CTA2/B chimeric protein revealed IL-4 but little or no type 1  
 30 cytokine response.

TABLE III

35 Cytokine expression in PP, MLN and spleen cell cultures of mice immunized with SBR, SBR-CTA2/B or SBR-CTA2/B+CT

<u>Immunization</u> <sup>a</sup>	<u>Culture</u> <sup>b</sup>	<u>IFN-<math>\gamma</math></u>			<u>IL-2</u>			<u>IL-4</u>			<u>IL-5</u>		
		P	M	S	P	M	S	P	M	S	P	M	S

5	SBR	Control	- <sup>c</sup> - -	- ± -	± - -	+ + +
		+AgI/II	+ + +	++ + ++	++ - ++	+ + +
10	SBR-CTA2/B	Control	- - -	- ± -	+ ± +	+ + +
		+AgI/II	- ± +	- + ++	+ + ++	+ + +
10	SBR-CTA2/B +CT	Control	- - +	- ± +	± ± +	+ + +
		+AgI/II	- + ++	- ± ++	+ + ++	+ + +

15 a= mice were immunized thrice at 10 day intervals and organs collected 3 days after the last immunization.

b= cells were cultured *in vitro* for 24 hours without (control) and with AgI/II (0.1 ug/ml)

20 c= cytokine mRNA expression detected by RT-PCR and scored according to the presence of ethidium bromide-stained band of appropriate molecular size; -, no detectable band; ±; very faint/uncertain band; +, clear band; and ++, very strong band.

P= PP cell cultures, M= MLN cell cultures; S= spleen cell cultures.

25 Lymphocytes taken from the PP and MLN of mice immunized i.g. with SBR, or SBR-CTA2/B without or with CT as adjuvant were capable of proliferating *in vitro* when stimulated with AgI/II, showing a similar overall pattern of T cell responses to the different regimens and stages of immunization as the serum and salivary antibody responses. Immunization with SBR alone  
30 induced the lowest proliferative responses in PP and MLN cells, and this was reflected also in little change in the proportions of CD4<sup>+</sup> and CD8<sup>+</sup> T cells in these organs. Moreover, the pattern of cytokine expression in the cells from PP and MLN of these mice suggested a mixed type 1 and type 2 helper activity, possibly  
35 governed by Th0 cells. Coupling SBR to CTB in the form of the SBR-CTA2/B chimeric protein enhanced its immunogenicity with respect to T cell responses in PP and MLN, and the addition of CT as an adjuvant further elevated these responses. Furthermore,

the cytokine expression pattern in PP and MLN cells from mice immunized with SBR-CTA2/B (with or without CT) indicated that T cell help was skewed towards Th2 activity. However, the finding of IL-5, IL-6, and IL-10 mRNA in cell cultures regardless of antigen stimulation *in vitro* is not readily explained in these terms, but may indicate constitutive expression of these cytokines or their continued expression *ex vivo* after immunization. It is also possible that IL-6 and IL-10 mRNA were derived from macrophages present in the cell cultures, although these would be largely adherent and unlikely to be harvested along with the lymphocytes.

The proportions of CD4<sup>+</sup> T cells in PP increased after each additional dose of these immunogen preparations, but a corresponding increase was seen in MLN cells only from mice immunized with SBR-CTA2/B chimeric protein and CT adjuvant. The finding that these T cell responses occurred in PP and MLN as early as after the first immunization, at least with SBR-CTA2/B, showed that antigen-sensitized T cells were elicited before IgA antibody responses became elevated in the effector sites of mucosal immunity such as salivary glands. The responses in MLN and PP were different, as significant proliferative responses and increased proportions of CD4<sup>+</sup> cells during the course of immunization were developed in MLN cells only when CT was used as an adjuvant, and moreover MLN from all mice contained higher proportions of T cells of both phenotypes than corresponding PP. The proportion of CD8<sup>+</sup> cells was higher in MLN than in PP, but as it was not reduced by the administration of CT as an adjuvant, it appears that the enhanced AgI/II-specific proliferation in MLN cells from mice given CT is not due to inhibition of CD8<sup>+</sup> suppressor cells by CT. The spleen, a non-mucosal lymphoid organ, displayed little or no response in terms of antigen-specific proliferating T cells, despite the considerable elevation of serum IgG antibodies especially when SBR-CTA2/B was given together with CT adjuvant. This is consistent with the relatively modest numbers of specific antibody-secreting cells found in the spleen after i.g. immunization with AgI/II chemically conjugated to CTB and given with CT. Throughout these experiments, although the mice were immunized with SBR or SBR-

CTA2/B chimeric protein representing residues 186 to 577 of AgI/II, both antibody and T cell responses could be detected with intact AgI/II. This implies that SBR retains conformational structure similar to that of the corresponding part of the whole  
5 AgI/II molecule, and that both are processed similarly by antigen-presenting cells.

These responses are in accordance with the concept of the common mucosal immune system, and the dissemination of antigen-sensitized T and B cells from the inductive sites such as  
10 PP, through the MLN that drain the lymph flow from the small intestine, and thence into the circulation prior to relocating in the effector sites of mucosal immunity, including the salivary glands. Thus, i.g. immunization with SBR, especially when coupled to CTB in the form of a chimeric protein, leads to the appearance of  
15 antigen-responsive T cells in both PP and MLN. Because few cells were recoverable from blood, it was not practically possible to trace the appearance of such cells in the circulation, although this has been well documented in humans. The transient circulation of specific antibody-secreting cells, predominantly of the IgA  
20 isotype, approximately one week after mucosal immunization has been demonstrated in human and animal systems. Curiously, perhaps, it appears that the peak of circulating antigen-specific T cells occurs after the peak of circulating antibody-secreting cells, and an increased proportion of CD4<sup>+</sup> T helper cells was found in  
25 the peripheral blood of mice 10 days after the second or third dose of SBR-CTA2/B, especially if CT was also given as an adjuvant. Cytokine-secreting T cells occur in effector sites of mucosal immunity, such as the salivary glands.

CT has been shown to enhance T helper responses in  
30 intestinal tissues, and particularly the Th2 subset that is held to promote high levels of serum IgG and mucosal IgA antibody responses. Type 2 cytokine production by antigen-specific T cells in nasal-associated lymphoid tissue and the draining cervical lymph nodes of mice immunized intranasally, as well as in PP and  
35 MLN of mice immunized i.g., with AgI/II conjugated to CTB were also found. CT is known to deplete selectively CD8<sup>+</sup> intraepithelial lymphocytes and while the functions and migratory potential of these cells are incompletely understood, any such effect within

inductive sites such as the PP would also serve to elevate the proportion of CD4<sup>+</sup> T cells. However, although the proportion of CD8<sup>+</sup> cells declined slightly in some tissues, this appeared to occur concomitantly with an increase in the number of CD3<sup>+</sup> cells, in particular the CD4<sup>+</sup> subset. Whether CTB itself can serve as an adjuvant in the absence of intact CT has been controversial. Synergism between CTB and CT has been demonstrated and most commercially available, non-recombinant preparations of CTB contain small amounts of intact CT that may be sufficient to show this effect. The genetically constructed SBR-CTA2/B chimeric protein, in which the toxic CTA1 subunit has been deleted, is clearly able to induce mucosal and circulating antibodies without the necessity for additional CT. The adjuvant activity of CT may be closely linked to its toxicity which is a function the ADP-ribosyltransferase activity of the A1 subunit. Adjuvanticity of the related *Escherichia coli* heat-labile enterotoxin can be dissociated from toxicity. Fusion proteins of CTB directly coupled to other antigenic peptides have been constructed, but the conformation of CTB and its ability to form GM<sub>1</sub>-binding pentamers tend to be disrupted by peptides longer than approximately 12 amino acid residues and moreover, their mucosal immunogenicity seems to be limited in the absence of additional CT. These limitations do not apply to SBR-CTA2/B chimeric protein, in which a large 42kDa segment of protein is fused to the CTA2 subunit which couples it noncovalently to the CTB pentamer to preserve its GM<sub>1</sub> ganglioside-binding activity. The enhanced enteric immunogenicity of SBR-CTA2/B chimeric protein, even in the absence of CT, is advantageous for an oral vaccine, as recombinant CTB has been shown to be a safe and effective immunogen in humans.

I.g. immunization with SBR, especially when genetically coupled to CTB to enhance both mucosal and circulating antibody responses, induces T cell responses in the gut-associated lymphoid tissues such as PP and MLN. Furthermore, these T cell responses occur after one or two doses of immunogen, earlier than the antibody responses, and include increased proportions of CD4<sup>+</sup> T helper cells. The responses are enhanced by, but are not dependent upon, the addition of CT as an adjuvant.

### EXAMPLE 18

#### Expression of SBR in *S. typhimurium*

The *pac* gene segment encoding the SBR region (1.2  
5 kilobases [kb]) was removed from pSBR-CT<sup>ΔA1</sup> by restriction  
digestion with the *NcoI* and *XhoI* endonucleases, and purified after  
agarose gel electrophoresis of the digest and extraction using the  
QIAEX gel extraction kit (Qiagen, Chatsworth, CA). The pET20b(+)  
expression vector (3.7 kb; Novagen, Madison, WI) was similarly  
10 digested by *NcoI* and *XhoI*, dephosphorylated by calf intestinal  
alkaline phosphatase and purified by gel extraction. The *pac*  
segment was then ligated into pET20b(+) in frame with the 3' end  
of the *pelB* leader sequence (required for the transport of cloned  
polypeptides into the periplasm) and the resulting ligation  
15 product, designated pSBR, was introduced into *S. typhimurium*  
BRD509 (pGP1-2) (6) by means of electroporation using a gene  
pulser (Biorad, Richmond, CA) set at 2.5 kV, 25 μF, and 200 Ohms.  
Transformed colonies were selected on L-agar plates (1% tryptone,  
0.5% yeast extract, 1% NaCl, 0.1% dextrose, 1.5% agar)  
20 supplemented with 50 μg/ml carbenicillin and 50 μg/ml  
kanamycin (to select for pSBR and pGP1-2, respectively).  
Transformants were examined for the presence of two plasmids  
with sizes of 4.9 and 7.2 kb, corresponding to the size of pSBR and  
pGP1-2, respectively. Both plasmids were required for the  
25 expression of SBR since its transcription in pSBR is under the  
control of the bacteriophage T7 promoter and pGP1-2 provides a  
source of T7 RNA polymerase. Expression of the SBR polypeptide  
in transformants containing both plasmids was induced at mid-log  
phase by a shift from 30°C-37°C, and production of SBR was  
30 confirmed by western immunoblotting of cell lysates using  
antibodies to the native AgI/II molecule (Figure 8B). Target gene  
induction in this system is temperature-regulated because the T7  
RNA polymerase is under the control of the *l P<sub>L</sub>* promoter that is  
regulated by the *cI857* temperature-sensitive *l* repressor (Fig.  
35 8A).

### EXAMPLE 19

#### Estimation of recombinant protein production

To determine the amount of the SBR polypeptide produced by *S. typhimurium* (pGP1-2 + pSBR-CT $\Delta$ A1) (6) and *S. typhimurium* (pGP1-2 + pSBR) (Figure 8), a calibrated "sandwich" ELISA standardized with purified rSBR was performed using cell lysates obtained by sonication. This quantitative ELISA was repeated four times using independent cultures which were grown at 30°C and subsequently processed for the immunizations (see "Immunizations" below). The construction of standard curves and the interpolation of the unknowns was performed by means of a computer program based on four-parameter logistic algorithms (Softmax/Molecular Devices, Menlo Park, CA). For the ELISA, rabbit anti-mouse IgG followed by a mouse monoclonal IgG antibody to SBR served as the coating reagents while peroxidase-conjugated rabbit polyclonal antibodies to native AgI/II was used for detection of bound protein. SBR used as standard was purified from cell lysates by metal-chelation chromatography on a nickel-charged column (Novagen), according to the manufacturer's instructions. The affinity of SBR for nickel arises from a 6-residue histidine sequence (at its C-terminal end) which was derived from the pET-20b(+) expression vector. Recovery of SBR from the column was achieved by elution with imidazole. The purity of the SBR preparation was verified by SDS-PAGE and its protein content was estimated by the bicinchoninic acid protein determination assay (Pierce, Rockford, IL) using BSA.

A similar approach was used to quantify the SBR-CT $\Delta$ A1 chimeric protein with the exception that the plates were coated with GM1 ganglioside (Calbiochem, La Jolla, CA). This GM1-ELISA was standardized with SBR-CT $\Delta$ A1 purified. Briefly, the chimeric protein was isolated from whole-cell extracts by size-exclusion chromatography on a Superose 12 HR 16/50 column (Pharmacia-LKB, Piscataway, NJ) followed by anion-exchange chromatography on a Mono Q column (Pharmacia-LKB). Results are expressed as %SBR or SBR-CT $\Delta$ A1 per total soluble protein in the lysates.

## EXAMPLE 20

### Immunizations

Overnight cultures of recombinant *S. typhimurium* BRD509 expressing SBR-CT<sup>ΔA1</sup> or SBR were diluted 1:100 in L-broth containing 50 μg/ml of kanamycin and 50 μg/ml of carbenicillin and grown at 30°C with shaking and aeration until  
5 A<sub>600nm</sub> reached 0.5-0.55. The bacteria were recovered by centrifugation and resuspended in a medium consisting of 4 parts Hank's balanced salt solution (Life Technologies Inc., Grand Island, NY) and 1 part sodium bicarbonate (7.5% solution; Mediatech, Washington, D.C.). The number of bacteria in the suspension was  
10 estimated by extrapolating from a growth curve and was confirmed by plating dilutions of the bacterial inoculum on L-agar plates (with or without the appropriate antibiotics) and enumerating the colonies grown after overnight incubation at 30°C.

15 BALB/c mice, 10 to 12 weeks old, from a pathogen-free colony, were used for oral and i.n. immunization studies performed according to NIH guidelines and protocols approved by the UAB Institutional Animal Care and Use Committee. An oral dose containing 10<sup>9</sup> CFU in 0.25 ml was administered to groups of  
20 5-6 mice by intragastric (i.g.) intubation using a 22-gauge feeding tube (Popper and Sons Inc., Hyde Park, N.Y.) The mice were immunized 1 to 3 times in a period of 6 days. A single booster immunization with 10<sup>10</sup> CFU was given 15 weeks later. For i.n. immunizations, groups of 6 mice were inoculated 3 times (in a  
25 period of 6 days) with 10<sup>8</sup> CFU in a volume of ~20 μl which was slowly applied in the external nares by means of a micropipettor. A single booster i.n. immunization with 10<sup>9</sup> CFU was performed 15 weeks later. In another experiment, groups of 3 mice received a single primary immunization (10<sup>10</sup> CFU for i.g. or 10<sup>9</sup> CFU for  
30 i.n. delivery) followed by a booster immunization with the same dose 15 weeks later. An age-matched, unimmunized control group consisting of 5 mice was also included to monitor background antibody levels during the course of the studies.

35

#### EXAMPLE 21

##### Sampling and quantification of antibody responses

Serum was obtained by centrifugation of blood samples collected from the lateral tail vein with heparinized



capillary pipettes. Preimmune samples were obtained 1 day before the immunizations and subsequent to immunizations collections were made 3, 5, and 7 weeks later, one day before the booster immunization (week 15), and at biweekly intervals thereafter (weeks 17 and 19). Saliva samples were collected at the same times as serum by means of a pipettor fitted with a plastic tip after stimulation of salivary flow by i.p. injection of 5  $\mu$ g carbachol (Sigma Chemical Company, St. Louis, MO). Fecal extracts were prepared by vortexing 3 fecal pellets from each mouse in 600  $\mu$ l extraction buffer (PBS containing 0.02 % azide, 1% BSA, 1 mM PMSF, and 5 mM EDTA). The extracts were subsequently centrifuged and the supernatants obtained were assayed for total IgA levels (see below) and were adjusted to contain 100  $\mu$ g of total IgA per ml ("standardized" fecal extracts) by adding an appropriate volume of extraction buffer.

The levels of isotype-specific antibodies from serum, saliva, or fecal extracts, and total salivary or intestinal IgA were determined by ELISA on microtiter plates coated with native AgI/II (chromatographically purified from *S. mutans* culture supernatants), GM<sub>1</sub> followed by CT (List Biological Laboratories, Campell, CA), formalin-killed cells of *S. typhimurium* BRD509, or goat anti-mouse IgA. The plates were developed with the appropriate peroxidase-conjugated goat anti-mouse Ig isotype (IgG for serum samples and IgA for secretion samples) and *o*-phenylenediamine substrate with H<sub>2</sub>O<sub>2</sub>. IgG1 or IgG2a antibody responses were assayed using peroxidase-conjugated IgG subclass-specific antibodies. All antibodies used for ELISA were purchased from Southern Biotechnology Associates, Inc., Birmingham, AL. The concentration of antibodies/total Ig in test samples was calculated by interpolation on standard curves generated using a mouse Ig reference serum (ICN Biomedicals, Costa Mesa, CA) and constructed by a program based on four parameter logistic algorithms (Softmax / Molecular Devices).

35

## EXAMPLE 22

### Statistical analysis

Results were evaluated by Student's *t* test by means of the Multistat program (Biosoft, Cambridge, UK) on a Macintosh

computer. Differences were considered significant at the  $P < 0.05$  level. antibody data were logarithmically transformed to normalize their distribution and homogenize the variances. The data were finally retransformed and presented as geometric means  $x/\div$  SD.

### EXAMPLE 23

#### Recombinant protein production by the Salmonella clones

Using a "sandwich" ELISA calibrated with purified rSBR, it was determined that *S. typhimurium* (pGP1-2 + pSBR-CT $\Delta$ A1) and *S. typhimurium* (pGP1-2 + pSBR) produced similar amounts of the SBR polypeptide (Table IV). Slightly higher levels of SBR were detected in the lysates from the pSBR-containing clone than in the extracts from the clone expressing the SBR-CT $\Delta$ A1 chimeric molecule, but the difference was not statistically significant. This might have resulted from the presence of CTA2/B which could sterically interfere with the recognition of the SBR component of the chimeric protein by the antibodies used in the "sandwich" ELISA. As expected, G<sub>M1</sub>-ELISA calibrated with purified SBR-CT $\Delta$ A1 detected a G<sub>M1</sub>-binding protein possessing SBR epitopes only in lysates from the pSBR-CT $\Delta$ A1-containing clone (TABLE IV). The expression level of SBR-CT $\Delta$ A1 in the lysates was approximately 2-3% of the total soluble protein (TABLE IV). These results validate the appropriateness of comparing the capacity of these two clones to induce antibody responses to the SBR of AgI/II.

TABLE IV

Production of recombinant protein by *S. typhimurium* (pGP1-2 + pSBR and *S. typhimurium* (pGP1-2 + pSBR-CT $\Delta$ A1) clones

Assay:	Elisa Method		Exp.	Protein Amount <sup>a</sup>	
	Coating	Develop.		pSBR	pSBR-CT $\Delta$ A1
SBR	SBR-MAb	AgI/II-PAb	No. 1	1.68	1.18
			No. 2	1.33	1.23
			No. 3	1.51	1.07
			No. 4	1.13	1.14
			Mean $\pm$ SD	1.4 $\pm$ 0.2	1.16 $\pm$ 0.07

5	SBR-CT <sup>ΔA1</sup> Gml	AgI/II-PAb	No. 1	0**	2.03
			No. 2	0	3.05
			No. 3	0	3.49
			No. 4	0	2.13
			Mean±SD	0	2.68±0.7

a= amount of recombinant protein in cell lysate of clones containing either pSBR or pSBR-CT<sup>ΔA1</sup>; \*= % SBR polypeptide/total soluble protein in cell lysates; \*\*= % SBR-CT<sup>ΔA1</sup> chimeric protein/total soluble protein in cell lysates.

10

#### EXAMPLE 24

##### Serum IgG antibody responses

Oral immunization of mice with the *S. typhimurium* clones expressing SBR or SBR-CT<sup>ΔA1</sup> resulted in dose-dependent primary serum IgG responses to AgI/II which were significantly enhanced after a single booster dose (Figure 9). Although the responses to AgI/II induced by i.g. administration of either *S. typhimurium* clone (i.e., expressing SBR alone or linked to CTA2/B) were not statistically different, a trend was observed towards higher serum IgG responses after two or three primary immunizations with *S. typhimurium* expressing SBR alone than with the clone expressing the SBR-CT<sup>ΔA1</sup> chimeric protein (Figure 9).

The response to the *Salmonella* vector was essentially similar with increasing number of doses (one to three) given during primary immunization (data shown for the groups which received two primary doses; Figure 10A). As expected, serum IgG antibodies to CT were induced after immunization with the clone expressing the chimeric SBR-CT<sup>ΔA1</sup> molecule but not with the clone expressing SBR alone (Figure 10A). As with anti-AgI/II responses, the serum IgG response to CT was also significantly elevated following the booster immunization (Figure 10A).

Analysis of serum samples obtained from i.n. immunized mice for anti-AgI/II responses, confirmed the trend of higher responses in mice immunized with *S. typhimurium* expressing SBR than SBR-CT<sup>ΔA1</sup> seen in i.g. immunized animals (Figure 11, left). In this case the differences in anti-AgI/II

responses between the clones were statistically significant except for the responses on week 17 (Figure 11, left). This trend did not seem to correlate with the anti-*Salmonella* responses induced by i.g or i.n. immunization. In fact, the anti-vector responses appeared to be higher for the SBR-CT<sup>DA1</sup>-producing clone; Figures 10A and 11, right. The kinetics as well as the magnitude of the serum IgG responses to *Salmonella* and the cloned Ags after i.n. immunization (Figure 11) were comparable to those after i.g. immunization (Figures 9 and 10A) despite that the number of *Salmonellae* given by the i.n. route was lower by one order of magnitude.

In the above experiments, preimmune serum samples (from 10- to 12-week old mice) did not contain detectable antibodies to AgI/II, CT, or *Salmonella* (corresponding data points at week 0 in Figures 9, 10A, and 11, represent the detection limit of the ELISA). Furthermore, 27 week-old unimmunized controls did not show substantial antibody levels to AgI/II or CT ( $\leq 1.2$   $\mu\text{g/ml}$ ) but they did develop a relatively weak response (compared to immunized animals) against *Salmonella* ( $17.8 \times \pm 1.6$   $\mu\text{g/ml}$ ,  $n=5$ ), probably because of cross-reactions with related gram-negative bacteria of their normal flora.

In these studies antibody responses to the SBR of AgI/II were detected using native AgI/II as the coating agent in the ELISA, as recombinant vaccines should be able to induce responses against the antigen expressed by the pathogen. On a limited number of samples, the influence of coating with purified rSBR on the magnitude of the detected responses was also determined. ELISA with serum samples tested on plates coated with either rSBR or AgI/II showed that the antibody response to rSBR was about 2 times higher than to AgI/II ( $2.15 \times \pm 1.49$ ,  $n=13$ ), implying substantial antigenic and possibly structural similarities between rSBR and the corresponding region (residues 186 to 577) of the whole AgI/II. Moreover, the antibody response against the CTA2/B component of the chimeric protein was about 3 times higher ( $3.34 \times \pm 1.10$ ,  $n=6$ ) when detected with native CT bound to GM1 ganglioside-precoated plates than when CT was directly coated on microtiter plates.

**EXAMPLE 25**IgG2a/IgG1 profile for vector and carried antigens

To determine the subclass distribution of serum IgG antibody responses, samples from mice orally immunized with SBR-CT<sup>ΔA1</sup>-expressing *S. typhimurium* were analyzed for levels of IgG2a and IgG1 antibody responses which are indicative of a Th type-1 or Th type-2 response, respectively. Serum IgG antibodies to whole *Salmonella* belonged predominantly to the IgG2a subclass (IgG2a/IgG1>10) but a mixed IgG2a and IgG1 response pattern (IgG2a/IgG1 ≈ 1) was observed for the cloned Ags, SBR and CTA2/B (TABLE V). Analysis of samples from a study in which mice were orally immunized with purified SBR-CT<sup>ΔA1</sup>, revealed predominant IgG1 responses to SBR and CTA2/B, indicating that immunization with the *S. typhimurium* vector shifted the responses towards the IgG2a subclass (TABLE V). In the case of the anti-SBR responses the shift was statistically significant. In terms of the IgG2a/IgG1 ratio, the response to SBR was not influenced by the route of immunization (i.g. or i.n.) or the presence or absence of CTA2/B (clones expressing SBR-CT/(A1 or SBR alone).

**TABLE V**

Profile of IgG2a/IgG1 antibody responses in serum after oral immunization with SBR-CT<sup>ΔA1</sup> expressed in *S. typhimurium* or administered as purified immunogen

Immunization	antibody response to:	IgG2a/IgG1*	No. mice with IgG2a/IgG>1
30 <i>S. typhimurium</i> vector (n=16)	SBR	1.02±2.26**	8/16
	BTA2/B	1.21±2.6	10/16
	<i>Salmonella</i>	11.2±2.21	16/16
35 Purified SBR-CT <sup>ΔA1</sup> (n=6)	SBR	0.3 ± 1.94	0/6
	CTA2/b	0.48 ± 1.73	1/6

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\* = ration obtained following quanfication of subclass-specific antibody levels; \*\* = values are the geometric mean x/( SD of the IgG2a/IgG1 ratios of individual mice.

5

### EXAMPLE 26

#### Salivary IgA antibody responses

Oral immunization of mice with recombinant  
10 *Salmonella* vector, one to three times, resulted in the induction of increasingly higher salivary IgA antibody responses to AgI/II (Figure 12). A single oral booster immunization resulted in augmented antibody levels in the groups immunized one or two times during priming, whereas the secondary response in the  
15 groups immunized three times for priming was slightly lower than the peak primary response at the time measured (Figure 12). All groups of mice displayed significantly higher antibody levels four weeks after the secondary immunization than immediately before the booster immunization. Interestingly, although the anti-AgI/II  
20 responses induced by the SBR- and the SBR-CT<sup>ΔA1</sup>-expressing clones were generally not significantly different (except for the responses of the groups immunized three times for primary immunization where differences reached statistical significance after the boosting [Figure 12]), they showed the opposite trend  
25 than that observed in the serum IgG responses, i.e., the presence of CTA2/B appeared to enhance the salivary IgA response to AgI/II. In contrast, the salivary IgA anti-vector responses induced by the two *Salmonella* clones were very similar (data shown for the groups which were given two primary doses; Figure  
30 10B). IgA antibodies to CT in saliva were detected after immunization with the SBR-CT<sup>ΔA1</sup>-expressing clone only, and were significantly elevated by secondary immunization (Figure 10B). The salivary IgA responses to *Salmonella* and the cloned Ags after i.n. administration of the recombinant *S. typhimurium*  
35 (Figure 13) displayed similar characteristics as after i.g. immunization and were comparable in magnitude despite the use of lower doses. The secondary response to CT and AgI/II reached significantly higher levels than the primary response, two and

four weeks following the booster immunization, respectively. When saliva samples were normalized for total IgA content, samples from 27-week-old unimmunized mice showed similar levels of "background" antibody activity to the test Ags as the  
5 preimmune samples of immunized animals reported in Figures 10B, 12 and 13.

The finding that anti-AgI/II responses tended to be higher in saliva but lower in serum when mice were immunized with the SBR-CT<sup>ΔA1</sup>-expressing clone than with the clone  
10 producing SBR alone, was further supported by data from additional groups of mice. These mice were given a single immunization of 10<sup>10</sup> CFU by the i.g. or 10<sup>9</sup> CFU by the i.n. route, boosted with the same dose 15 weeks later, and displayed the above mentioned trend regardless of the route of administration  
15 (Figure 14). In the same experiment, the mice immunized with a single i.g. dose of 10<sup>10</sup> CFU showed higher anti-AgI/II responses in serum and saliva than mice which received a single i.g. dose of 10<sup>9</sup> CFU (Figures 9, left and 12, left), but equal or slightly lower responses than mice given 3 i.g. doses of 10<sup>9</sup> CFU (Fig. 9, right and  
20 12, right)

### EXAMPLE 27

#### Intestinal IgA responses

IgA anti-AgI/II responses were also detected in fecal  
25 extracts from i.g. immunized mice (Figure 15). The kinetics of the responses induced by the two recombinant *S. typhimurium* clones also showed some trend for higher anti-AgI/II responses when the SBR antigen was co-expressed with CTA2/B (Figure 15). This trend was less pronounced than seen in saliva (Figure 12), and it  
30 did not show statistical significance except for two time points, i.e., Figure 15, at week 15 with groups given 1 primary dose, and at week 19 with groups given 2 primary doses. At the same time, the anti-*Salmonella* response appeared to be higher in the case of the clone producing SBR alone (Figure 10C), suggesting that the  
35 relatively high anti-AgI/II responses in the case of the SBR-CT<sup>ΔA1</sup> clone may be related to the co-expression of SBR and CTA2/B. Intestinal IgA responses to *Salmonella* and the cloned Ags were also induced after immunization by the i.n. route (Figure

16). As in the case of saliva, fecal samples from 27 week-old unimmunized mice showed similar levels of "background" antibody activity against the test Ags with the preimmune samples of immunized animals, but the background activity  
5 against CT appeared to be higher compared to that against AgI/II or even *Salmonella* (Figures 10C, 15, and 16).

Using a temperature-regulated expression system engineered in avirulent *S. typhimurium*, the present invention demonstrated high levels of antibodies against the cloned  
10 heterologous Ags in serum and mucosal secretions after oral or i.n. immunization. Expression of recombinant immunogens in this system was activated under *in vivo* conditions (37°C), since at 37°C target protein induction was shown to be optimal. This system was used to investigate whether the non-toxic A2/B  
15 moiety of cholera toxin can act as a *Salmonella*-cloned adjuvant when co-expressed with the SBR protein antigen. For this purpose, a *S. typhimurium* clone expressing SBR alone and a similar clone expressing the SBR-CT<sup>ΔA1</sup> chimeric protein were used, which were found to produce similar levels of the SBR  
20 polypeptide. The amount of chimeric protein produced by the SBR-CT<sup>ΔA1</sup> clone (2.68% of total soluble protein) was consistent with the estimated SBR levels (1.16%) expressed by the same clone, since SBR comprises 39% of the whole chimeric molecule by weight.

25 Quantitative analysis of serum samples showed that the IgG responses to SBR were generally lower after immunization with the SBR-CT<sup>ΔA1</sup>-expressing *S. typhimurium* than with the clone expressing SBR alone. Although this may suggest intramolecular antigenic competition as observed within the IgG  
30 molecule, i.e., higher responses are induced to the Fab fragment when Fab is injected alone than when the whole IgG molecule is used for immunization, analysis of responses in secretions revealed an opposite trend. Comparing the mucosal IgA antibody levels to AgI/II induced by immunization with the two *S.*  
35 *typhimurium* clones at each time point examined (56 time-points including all groups from both i.g. and i.n. immunization; Figures 12, 13, left, 14B, 15, and 16, left), the response in the case of the clone expressing SBR linked to CTA2/B was higher on 51 occasions



(91%). In contrast, the serum IgG response was higher in 83% of the occasions (31/36) in the case of the other clone, i.e., the one expressing SBR alone (Figures 9, 11, left, and 14A). One can speculate that CTA2/B may have a dual influence on anti-SBR responses arising from its dual role as an immunogen and as an immunoenhancing agent. As an immunogenic component of the SBR-CT $\Delta$ A1 chimeric molecule, CTA2/B may tend to depress the immune response to SBR through antigenic competition, and as a mucosal adjuvant it may tend to potentiate anti-SBR responses. This dual effect might have differentially influenced the observed mucosal and systemic responses if CTA2/B is able to provide better help for antibody production in mucosal inductive sites than in systemic compartments. Presumably, *Salmonella*-expressed CTA2/B can be delivered to both mucosal and systemic inductive sites because of the ability of the vector to colonize mucosal lymphoid tissues and to disseminate to systemic tissues. Interestingly, in an immunization study with influenza virus administered mucosally or systemically in the absence or presence of CTB, the adjuvant effect of CTB on antiviral antibody responses was found to be more pronounced after i.n. than after subcutaneous or i.p. immunization. These findings cannot be attributed to quantitative differences (equal doses were given by all routes and in the case of i.n. immunization the amount actually absorbed may be even less than that injected for systemic administration) but rather to a CTB adjuvant effect which is possibly influenced by the particular microenvironment where CTB acts.

Th1 or Th2 cells induce antigen-specific B cells to selectively produce IgG2a or IgG1 antibodies, respectively. *Salmonella* (as well as other intracellular microorganisms) generally induces a Th1-type response characterized by high levels of IFN- $\gamma$  and IgG2a antibodies. The serum IgG response to the *S. typhimurium* vector displayed a high (>10) IgG2a/IgG1 ratio. Moreover, a mixed IgG2a and IgG1 response (IgG2a/IgG1 = 1) was induced against *Salmonella*-expressed SBR, although a predominant IgG1 response to SBR was observed after oral immunization with purified SBR-CT $\Delta$ A1. These data also suggest that the type of response to a *Salmonella*-delivered protein

antigen was not entirely determined by the vector but is also influenced by inherent properties of the cloned antigen.

The mechanisms for inducing intestinal IgA responses to orally administered vaccines have been extensively studied. Although less is known regarding responses induced after i.n. immunization, several mechanisms can be offered for the observed intestinal IgA responses following i.n. administration of recombinant *Salmonella* in mice. Antigenic stimulation of the nasal-associated lymphoid tissues which show anatomical similarities with the Peyer's patches in the gut (e.g., lymphoid follicles covered by M cells) may result in the dissemination and homing of lymphoid cells to remote mucosal effector sites, including the intestinal lamina propria, in a fashion analogous to stimulation of the GALT. Moreover, a portion of the *Salmonella* inoculum may have been swallowed by the mice resulting in direct stimulation of the GALT. To minimize this possibility, a relatively small volume was slowly applied to the external nares (~10  $\mu$ l per nostril). If these mice did swallow some *Salmonella* organisms, the number would be relatively small compared to the oral dose given to i.g. immunized mice, i.e., 10 times higher than the i.n. dose. Alternatively, *S. typhimurium* could access the GALT by dissemination from nasal lymphoid tissues. Immunization by the i.n. route was generally as effective as by the i.g. route, despite using lower doses.

Besides a remarkable secondary IgG response in serum against AgI/II and CT, a pronounced secondary salivary IgA response was induced against the cloned Ags after i.n. and i.g. (especially when two primary doses were given) immunization, suggesting induction of immunological memory. Enhanced salivary IgA anamnestic responses to SBR or CTA2/B were not observed previously in mucosal immunization experiments using purified SBR-CT $\Delta$ A1 or the whole AgI/II molecule chemically conjugated to native CTB. Because of concerns regarding the efficacy of repeated use of *Salmonella* as a carrier for various heterologous Ags, it was of interest that boosting of mucosal IgA and serum IgG antibody responses was induced after i.g. booster immunization of mice with a pre-existing intestinal IgA response to the *Salmonella* vector.

In summary, despite the requirement for genetic coupling of CTA2/B to SBR to induce substantial anti-SBR responses after mucosal immunization with purified immunogen, expression of SBR alone in an avirulent *S. typhimurium* vector was sufficient to induce high levels of antibodies in serum and mucosal secretions. The finding that the immunogenicity of *Salmonella*-delivered SBR was not significantly dependent on co-expression of CTA2/B, suggests that in oral immunization with purified SBR-CT $\Delta$ A1 targeting of SBR to the GALT via G<sub>M1</sub> receptors on the overlying antigen-sampling M cells, may constitute an important immunoenhancing mechanism. The requirement for this mechanism, which would also reduce the exposure of SBR to proteases in the gut lumen, is bypassed by the *Salmonella* vector because of its tropism for the GALT, where SBR will eventually be delivered. The current system can be modified so that CTA2/B can find application as a *Salmonella*-cloned adjuvant, especially for Ags that are poor immunogens when delivered by this live antigen-delivery system.

20

### EXAMPLE 28

#### Intranasal immunization of rats with AgI/II, AgII, and SBR chemical/genetic conjugates

Fischer rats, 19 days old, were used for intranasal immunization studies performed according to NIH guidelines and protocols approved by the UAB Institutional Animal Care and Use Committee. The animals were immunized 3 times at 14-day intervals with 50  $\mu$ g of the appropriate immunogen (see group designations), with or without an adjuvant amount (1  $\mu$ g) of cholera toxin (CT), in a volume of 50  $\mu$ l which was slowly applied in the external nares by means of a micropipettor.

For sampling and quantification of antibody responses, serum was obtained by centrifugation of blood samples collected from the retroorbital plexus with heparinized capillary pipettes. Saliva samples were collected by means of a Pasteur pipette after stimulation of ovary flow by intraperitoneal injection of 10  $\mu$ g carbachol. Samples were obtained 1 day before the immunizations and 2 weeks after the last immunization. The levels of isotype-specific antibodies from serum and saliva, and

total salivary IgA were determined by ELISA on microtiter plates coated with native AgI/II, recombinant SBR, AgII, G<sub>M1</sub> followed by CT, or goat anti-rat IgA. The plates were developed with the appropriate peroxidase-conjugated goat anti-rat immunoglobulin isotype (IgG for serum samples and IgA for saliva samples) and o-phenylenediamine substrate with H<sub>2</sub>O<sub>2</sub>. The concentration of antibodies/total immunoglobulin in test samples was calculated by interpolation on standard curves generated using a rat immunoglobulin reference serum and constructed by a computer program based on four parameter logistic algorithms.

The results that follow are presented as  $\mu\text{g/ml}$  of specific serum IgG antibody or as % specific salivary IgA antibody/total IgA. Antibody data were logarithmically transformed to normalize their distribution and homogenize the variances. The data were finally retransformed and presented as geometric means  $x \pm$  standard deviation for ease of interpretation. The presented data are from samples obtained 2 weeks after the last immunization. Specific antibodies in preimmunized samples were not detectable.

Immunization groups 1, 2, and 3 are controls, groups 4 and 5 were immunized with SBR-CTA2/B chimeric protein (SBR-CT $\Delta$ AI, shown as CHIM) without (group 4) or with (group 5) CT as adjuvant, and groups 6-11 were immunized with chemical conjugates of SBR, AgII, or AgI/II coupled to recombinant CTB, without or with CT as an adjuvant.

Antibody responses were assayed against the intact AgI/II (Figs. 17 and 21), as well as against SBR (Figs. 18 and 22), and AGII (another part of AGJM distinct from the part containing SBR; Figs. 19 and 23). Responses against CT (Figs. 20 and 24) are given for comparison, since the immunogens as well as the adjuvant (CT) were used also induce responses to CT. Since CT is regarded as the most potent mucosal immunogen, these comparisons serve to put the magnitude of responses to SBR or Ag I in perspective.

In all instances, responses (measured against SBR, AgI/II, or AGII) to the various immunogens given without CT adjuvant were undetectable; the use of CT as adjuvant was

necessary to obtain responses in these rats (Fischer strain). This finding is in marked contrast to all previous results obtained in mice (BALB/c strain), in which antibody responses to SBR-CTA2/B chimeric protein or to AgI/II conjugated chemically to rCTB given intranasally were generated in the absence of CT adjuvant. The reason for this difference of response is not clear, and it is not known if this reflects the particular strain of rat used, or is typical of rats as compared to mice. Reports of studies performed on human subjects indicate that humans respond well to intranasal or oral immunization with recombinant CTB, i.e., more like mice than rats. The following is a comparison of responses to the different immunogens for animals immunized with CT as adjuvant.

Both SBR (in the form of either the chimeric immunogen, group 5; or the chemical conjugate, group 7) and AgII (group 9) as well as the intact AgI/II (group 9) induced antibodies detectable against AgI/II in serum (IgG, Figure 17) and in saliva (IgA, Fig, 21). The intact AgI/II and AgII (serum only) tended to induce the strongest responses measured in this way. However, SBR (in either form) induced the strongest responses measurable against SBR (Figs. 18 and 22) whereas AgII failed to induce responses to SBR. Conversely, AgII induced responses measurable against AgII (Figs. 19 and 23) but SBR did not. Despite the fact that the use of CT as an adjuvant also induced very strong responses to itself (Figs. 20 and 24), the responses to SBR, AgI/II, and AgII compare well with the responses to CT.

Therefore, the chimeric immunogen, SBR-CTA2/B, is very effective for inducing serum IgG and salivary IgA antibodies to SBR, which also react with the parent antigen, AgI/II. This may be advantageous, because SBR was selected as a part of the, AgI/II molecule that appears to be functionally important for the adherence of *Streptococcus mutans* to tooth surfaces. Immunization with AgI/II appears to induce antibodies most strongly against the AgII part of the molecule, yet earlier work indicated that antibodies to AgII might not be protective against *S. mutans*-induced dental caries. The advantage of using genetically constructed chimeric immunogens may therefore

include the ability to direct an antibody response to a functionally important part of the antigen molecule that is otherwise less immunogenic than parts of the the molecule that may be functionally less important. Such a finding may be of  
5 considerable importance in vaccine development, since surface molecules of microorganisms that have functional activity in pathogenesis may have evolved structures that divert host immune responses away from the more sensitive parts of the molecule.

10 Any patents or publications mentioned in this specification are indicative of the levels of those skilled in the art to which the invention pertains. These patents and publications are herein incorporated by reference to the same extent as if each individual publication was specifically and individually indicated  
15 to be incorporated by reference.

One skilled in the art will readily appreciate that the present invention is well adapted to carry out the objects and obtain the ends and advantages mentioned, as well as those inherent therein. The present examples along with the methods,  
20 procedures, treatments, molecules, and specific compounds described herein are presently representative of preferred embodiments, are exemplary, and are not intended as limitations on the scope of the invention. Changes therein and other uses will occur to those skilled in the art which are encompassed within the  
25 spirit of the invention as defined by the scope of the claims.

**WHAT IS CLAIMED IS:**

1. A plasmid capable of replication in a host which comprises, in operable linkage:
  - 5 a) an origin of replication;
  - b) a promoter; and
  - c) DNA sequences encoding the A2 subunit of cholera toxin.
- 10 2. The plasmid of claim 1, further comprising DNA sequences encoding subunit B of cholera toxin fused to the A2 subunit of cholera toxin.
- 15 3. The plasmid of claim 2, wherein said plasmid is pCT $\Delta$ A1.
- 20 4. The plasmid of claim 2, further comprising salivary binding protein (SBR) from *Streptococcus mutans* surface protein (AgI/II) fused to the A2 subunit of cholera toxin.
- 25 5. The plasmid of claim 4 designated pSBR-CTA2/B or pSBR-CT $\Delta$ A1.
- 30 6. The plasmid of claim 1, further comprising DNA sequences encoding an antigen of interest fused to DNA sequences encoding the A2 subunit of cholera toxin.
- 35 7. The plasmid of claim 2, further comprising DNA sequences encoding an antigen of interest fused to DNA sequences encoding the A2 subunit of cholera toxin.
8. The plasmid of claim 1, further comprising salivary binding protein (SBR) from *Streptococcus mutans* surface protein (AgI/II) fused to the A2 subunit of cholera toxin.
9. A chimeric protein produced by the plasmid of claim 4.

10. A fusion protein produced by the plasmid of claim 6.
- 5 11. A chimeric protein produced by the plasmid of claim 7.
12. A fusion protein produced by the plasmid of claim 8.
- 10 13. An attenuated bacterial strain containing the plasmid of claim 4.
14. The attenuated bacterial strain of claim 13, wherein said bacterial strain is Salmonella.
- 15 15. An attenuated bacterial strain containing the plasmid of claim 7.
16. The attenuated bacterial strain of claim 15, wherein said bacterial strain is Salmonella.
- 20 17. A method of producing an immune response to a protein antigen of interest in an individual in need of such treatment, comprising the step of administering to said individual a pharmacologically effective dose of the chimeric protein of claim 9.
- 25 18. The method of claim 17, wherein said protein is administered by a route selected from the group consisting of orally, intranasally, intrarectally, intravaginally, intramuscularly, and subcutaneously.
- 30 19. The method of claim 17, wherein said immune response results in the production of antibodies to the protein antigen sequence in a bodily fluid selected from the group consisting of saliva, intestinal secretions, respiratory secretions, genital secretions, tears, milk and blood.
- 35



20. The method of claim 17, wherein said immune response is selected from the group consisting of development of antigen-specific T cells in the circulation and tissues of said individual, the development of cytotoxic T cells and immunological  
5 tolerance to the protein antigen sequence.

21. A method of producing an immune response to a protein antigen of interest in an individual in need of such treatment, comprising the step of administering to said  
10 individual a pharmacologically effective dose of the chimeric protein of claim 11.

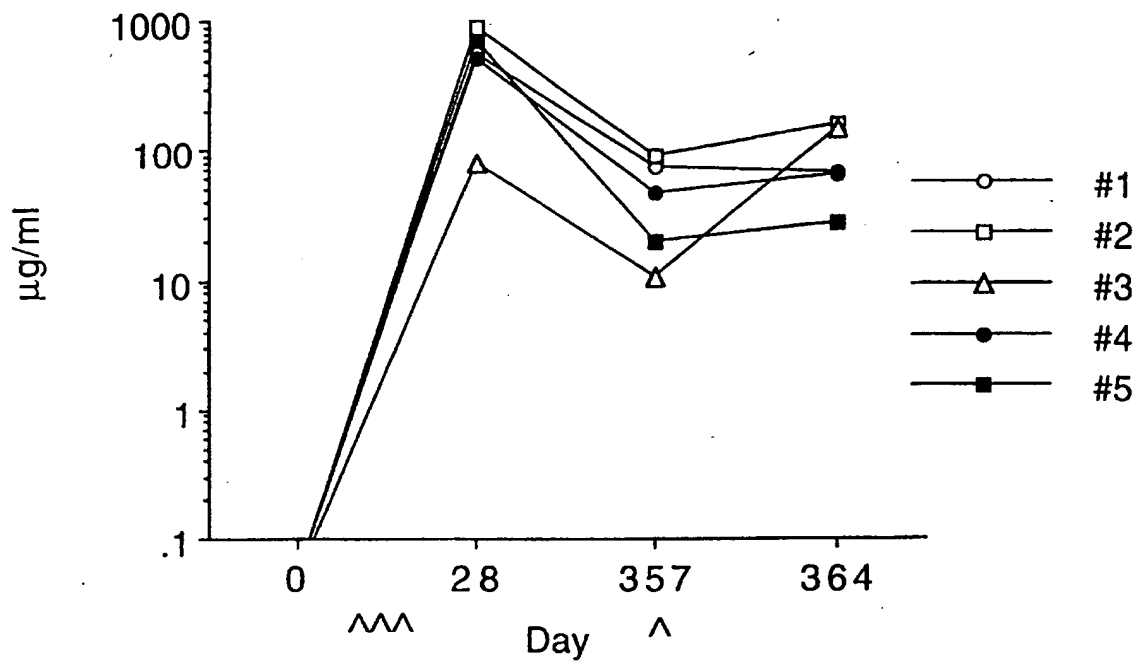


Fig. 1A

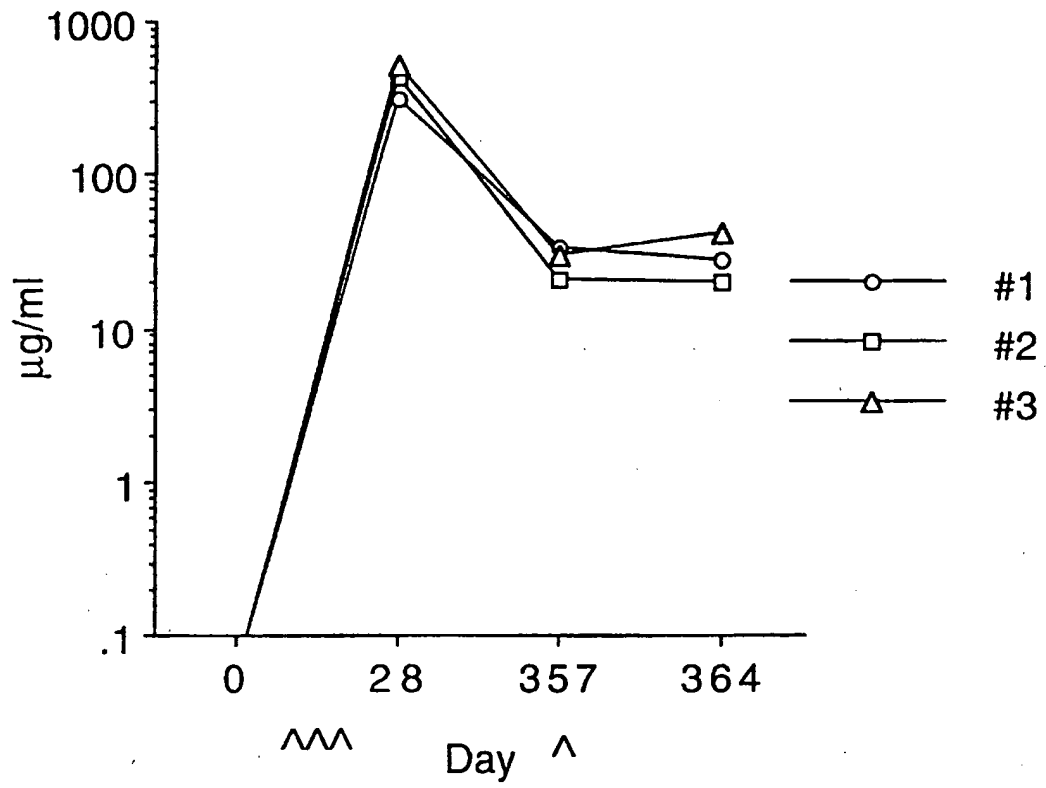


Fig. 1B

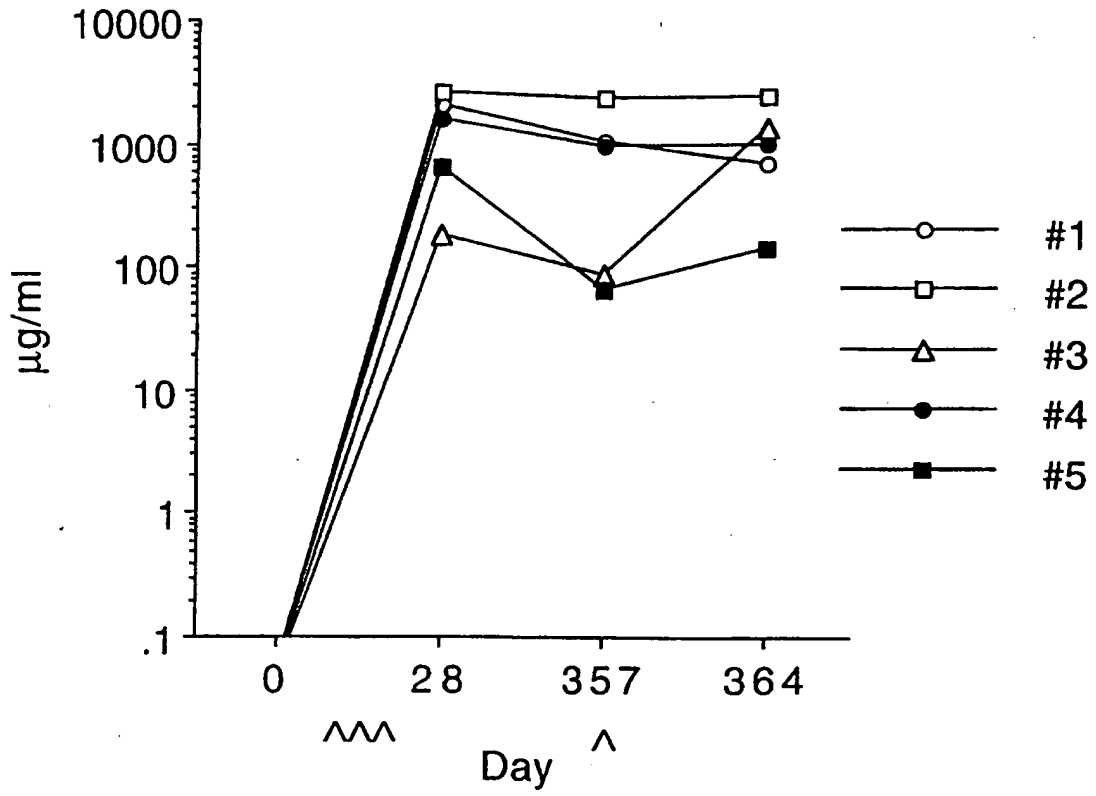


Fig. 1C

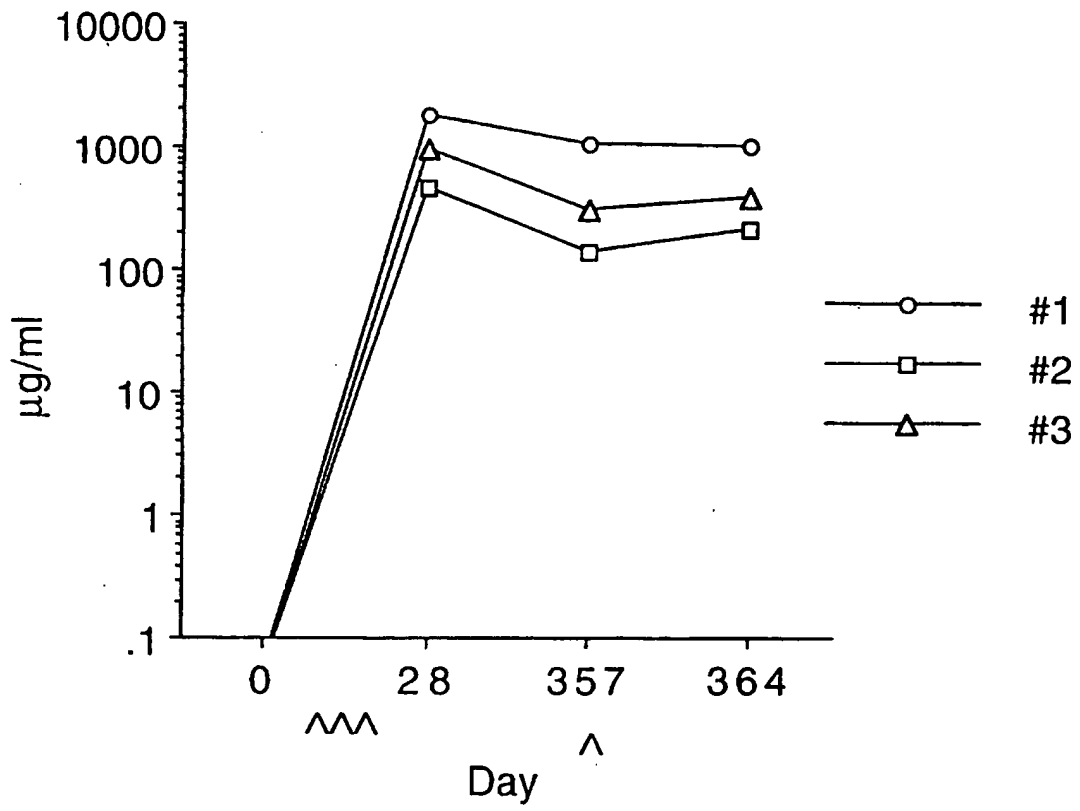


Fig. 1D

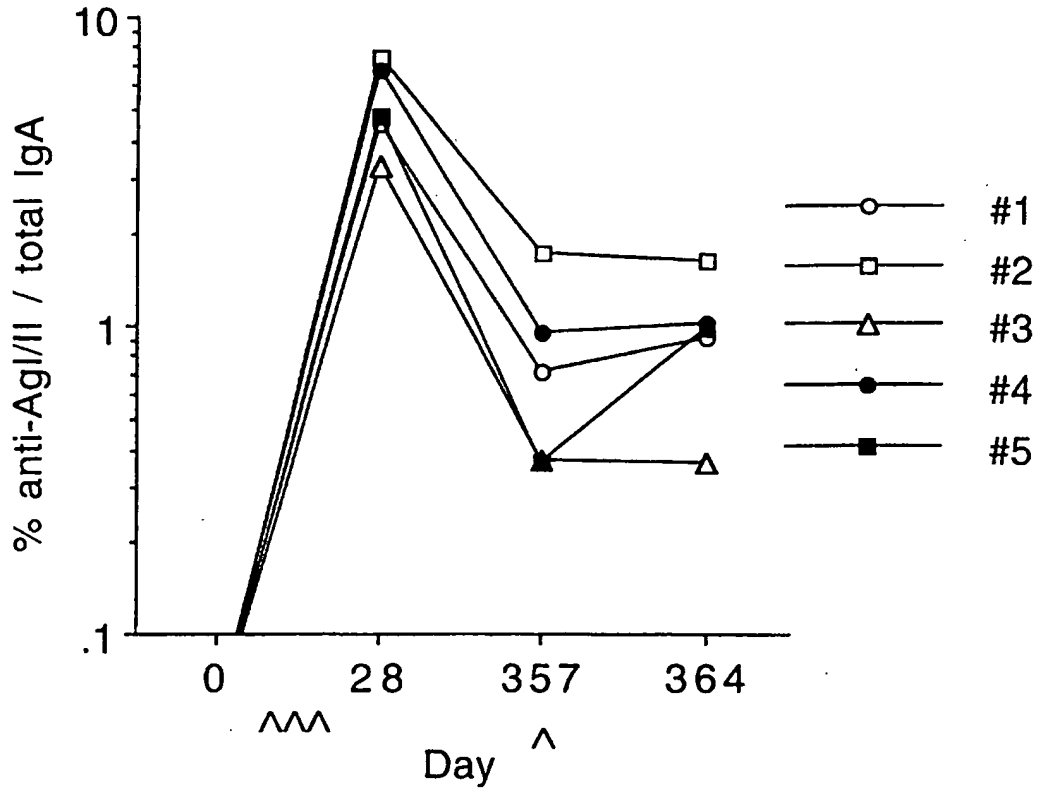


Fig. 2A

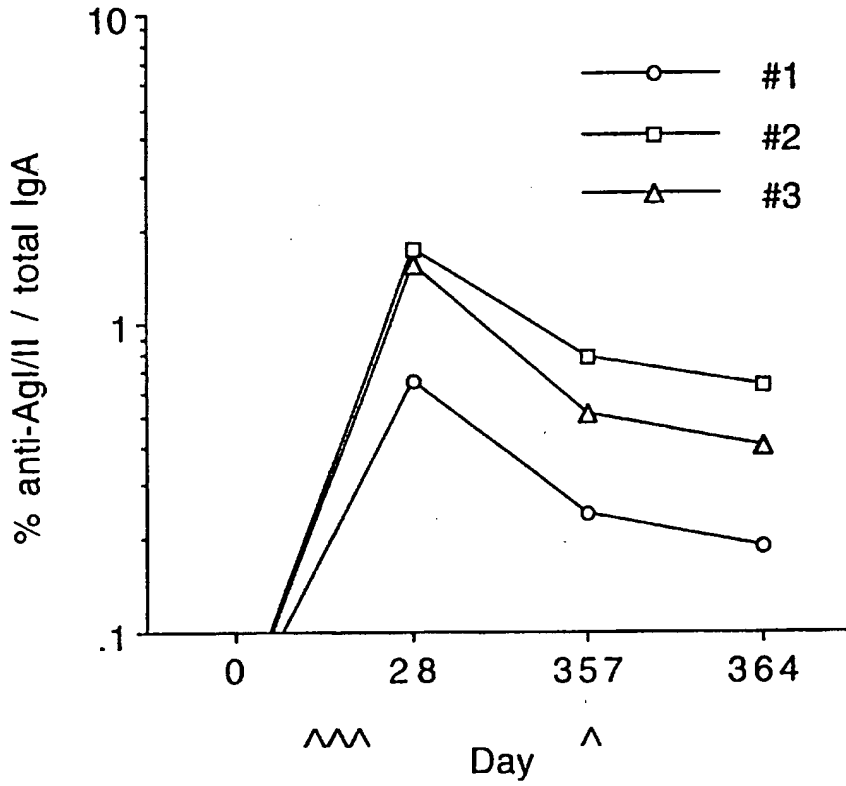


Fig. 2B

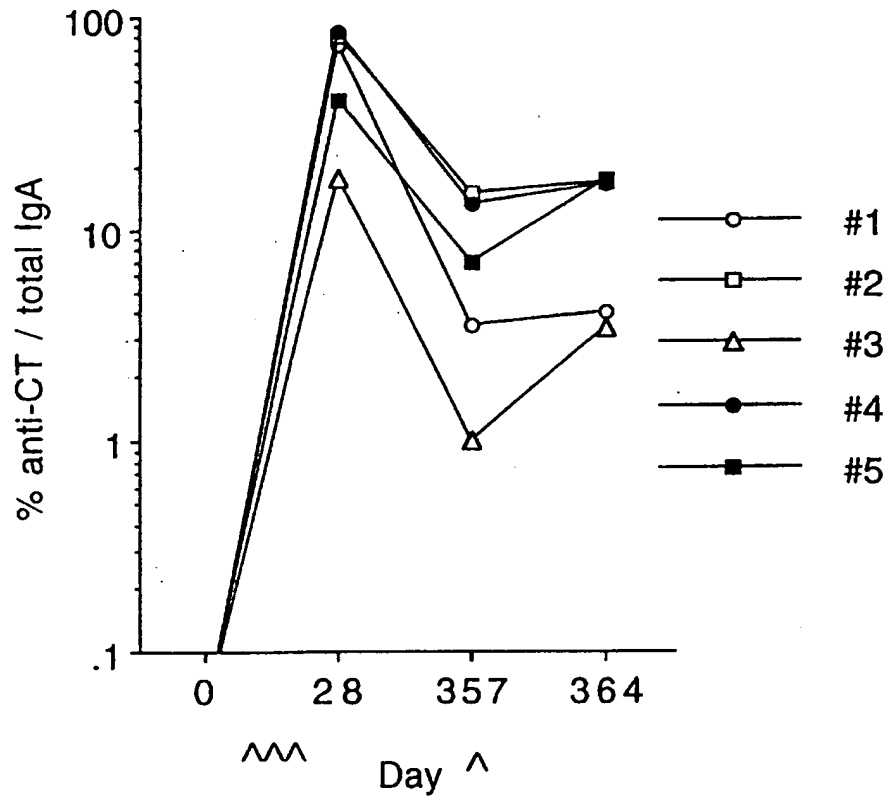


Fig. 2C



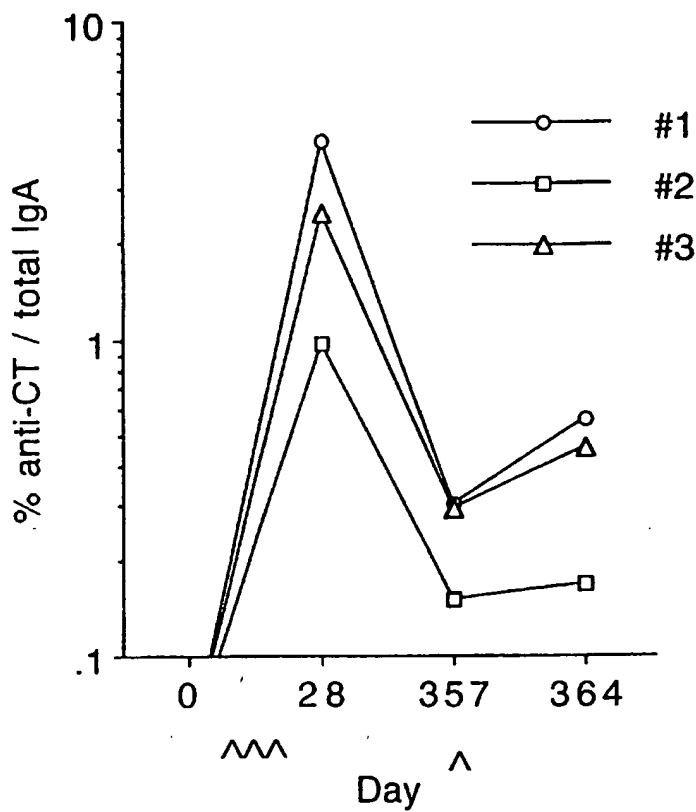


Fig. 2D

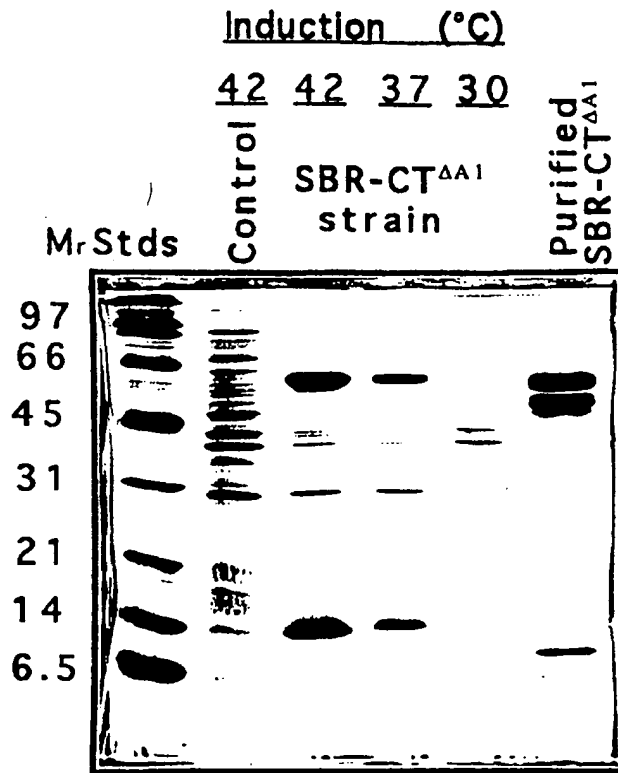


Fig. 3

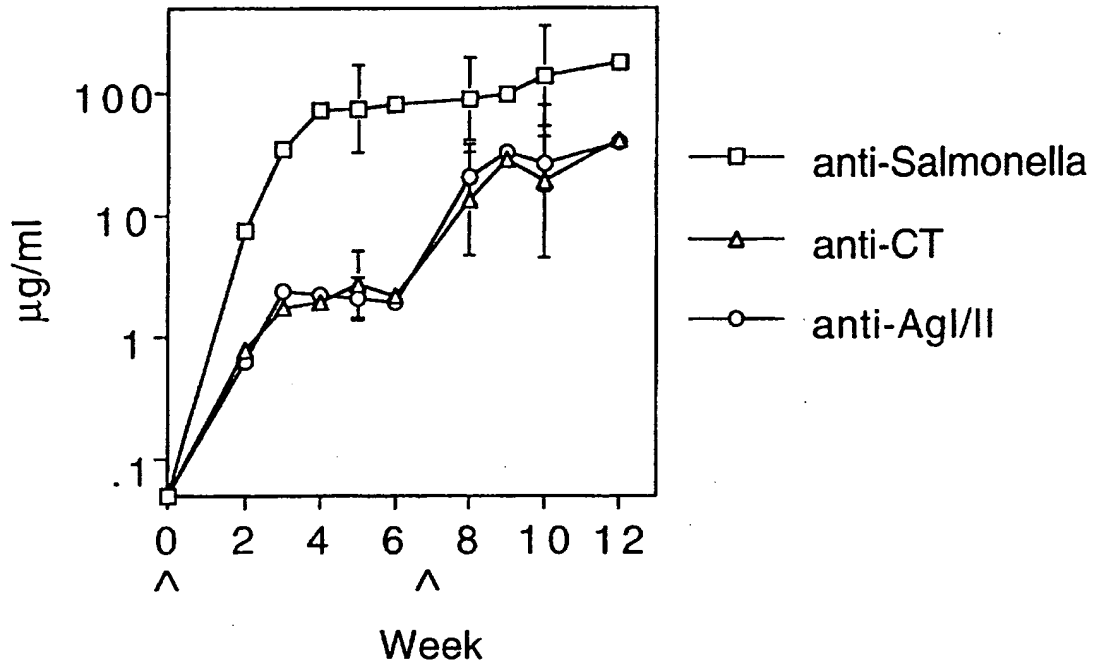


Fig. 4A

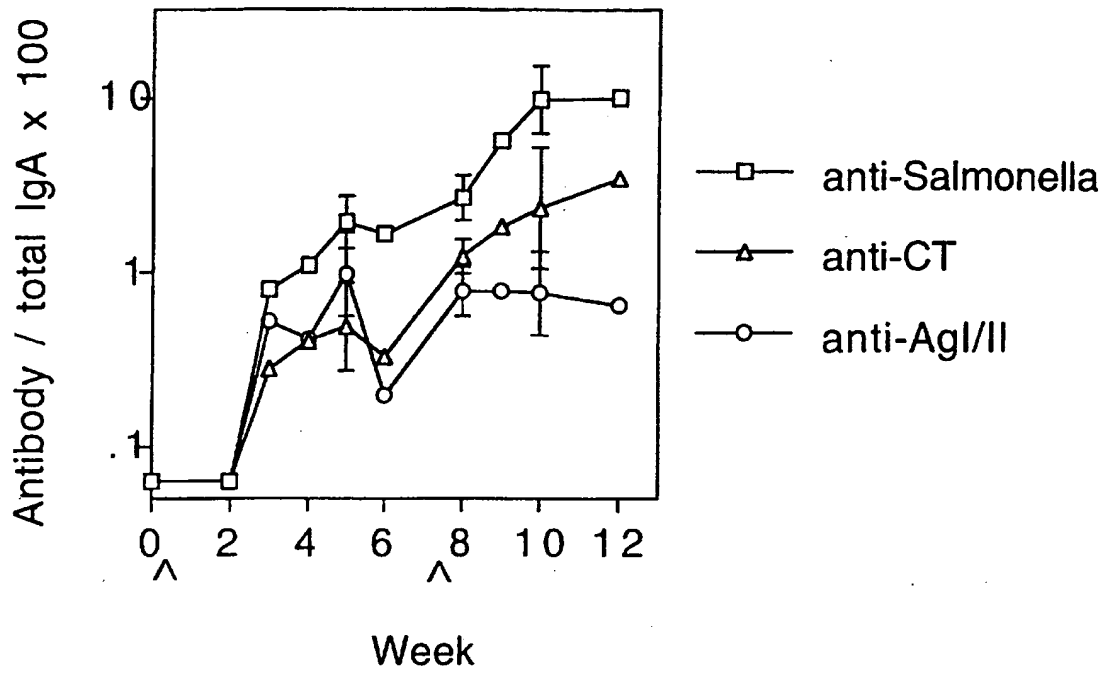


Fig. 4B

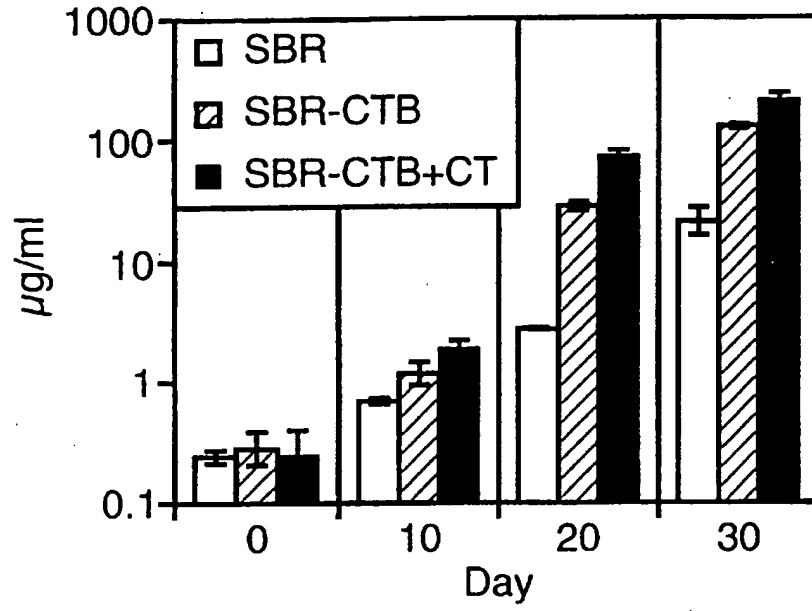


Fig. 5A

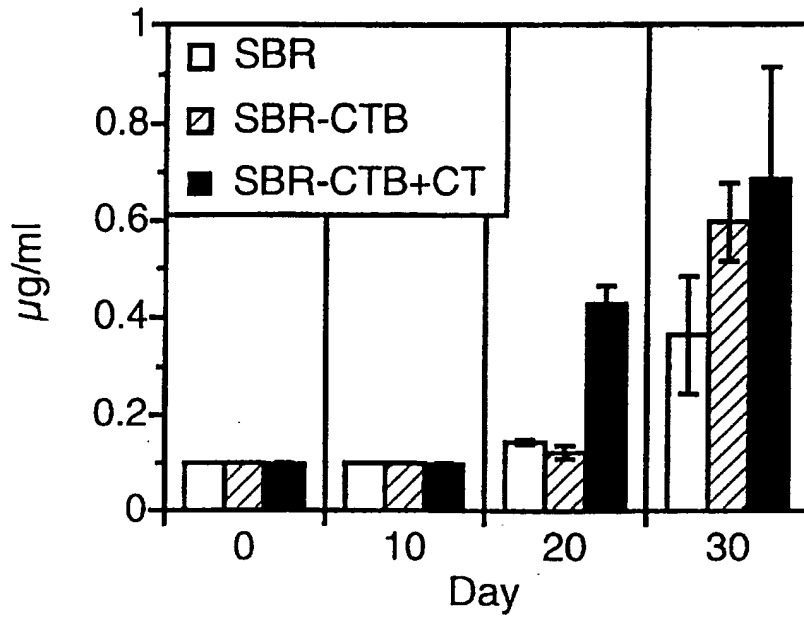


Fig. 5B

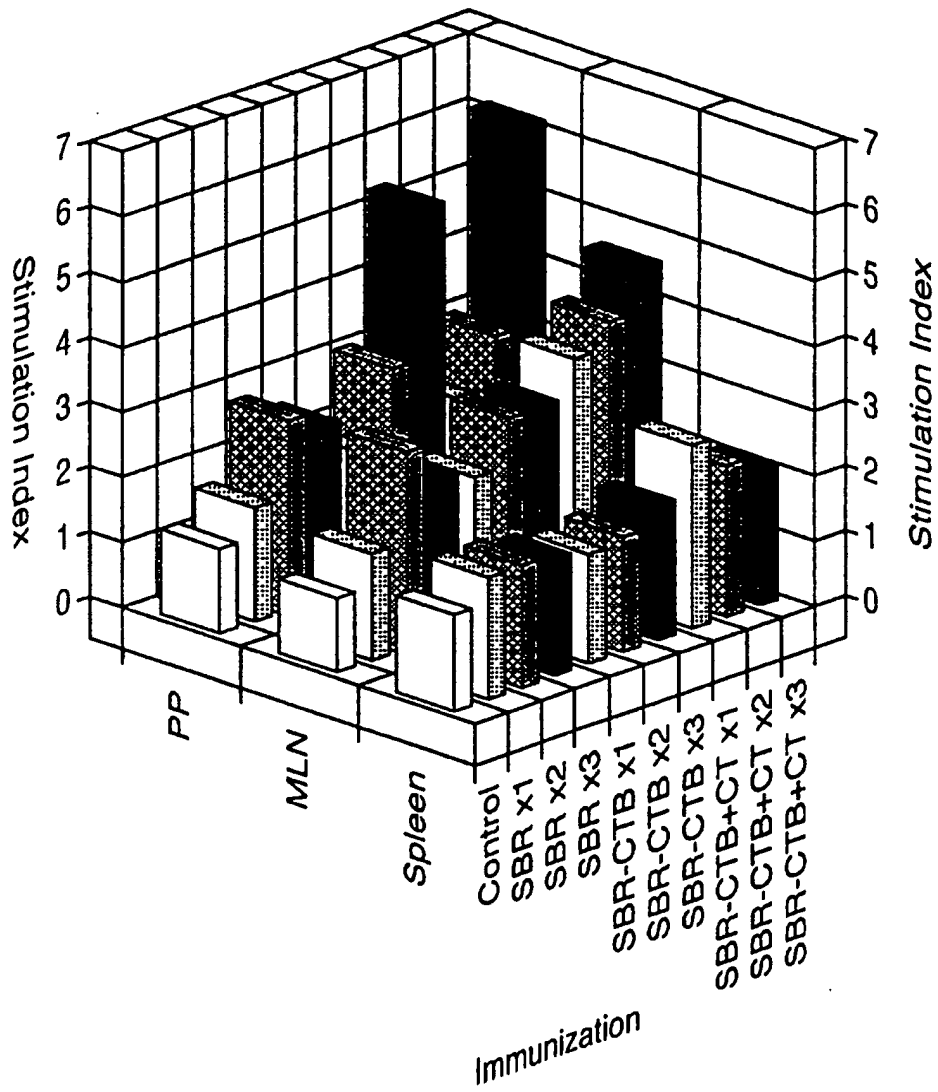


Fig. 6

**TISSUE**

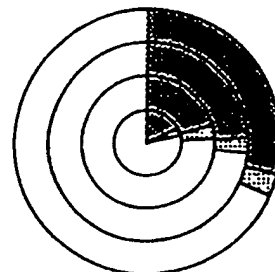
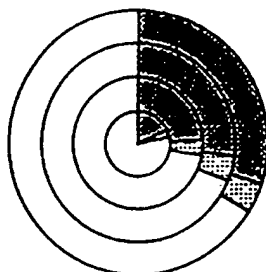
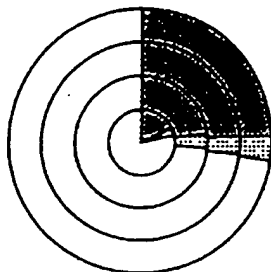
**IMMUNOGEN**

**SBR**

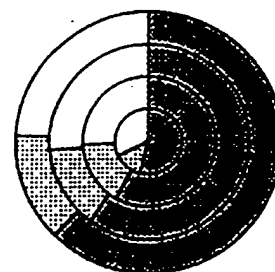
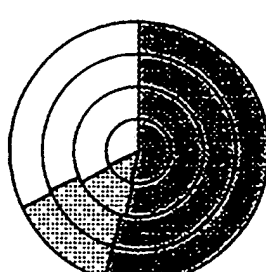
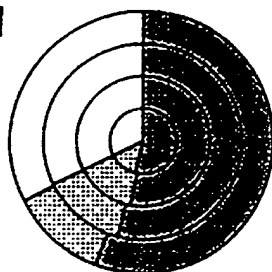
**SBR-CTB**

**SBR-CTB+CT**

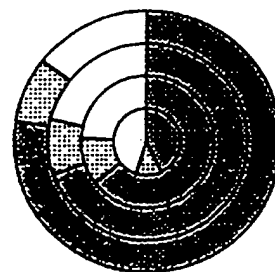
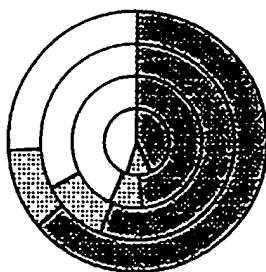
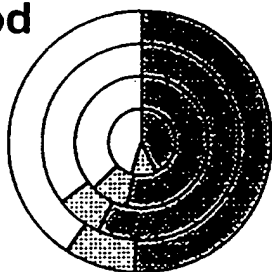
**PP**



**MLN**



**Blood**



**Spleen**

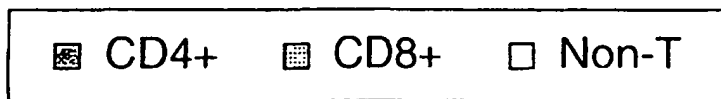
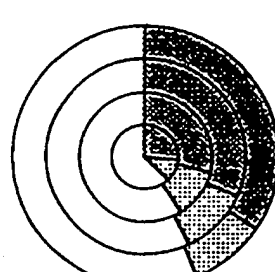
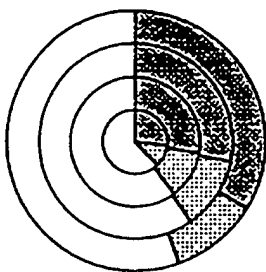
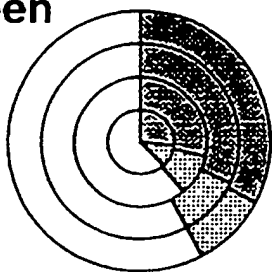


Fig. 7



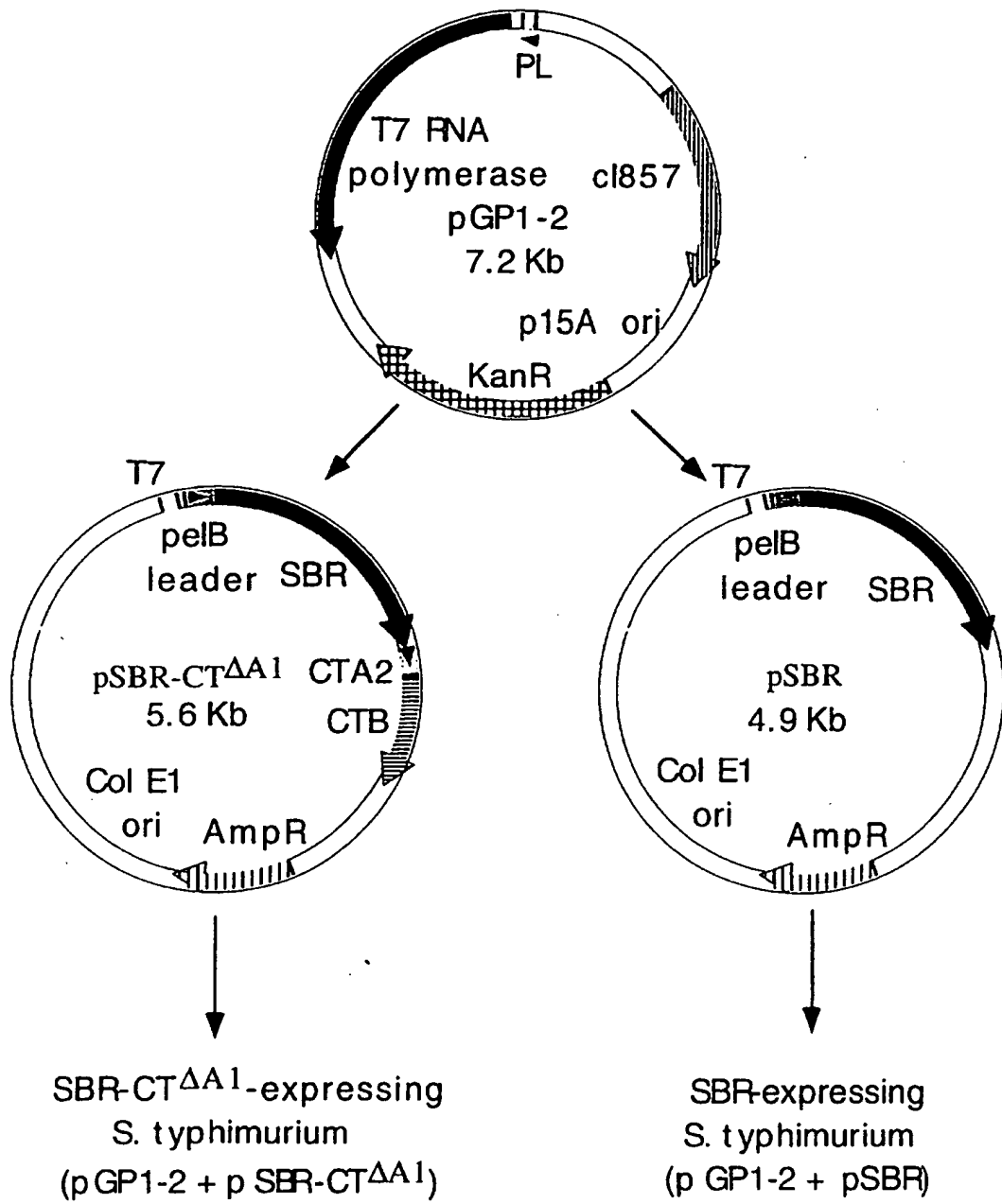


Fig. 8A

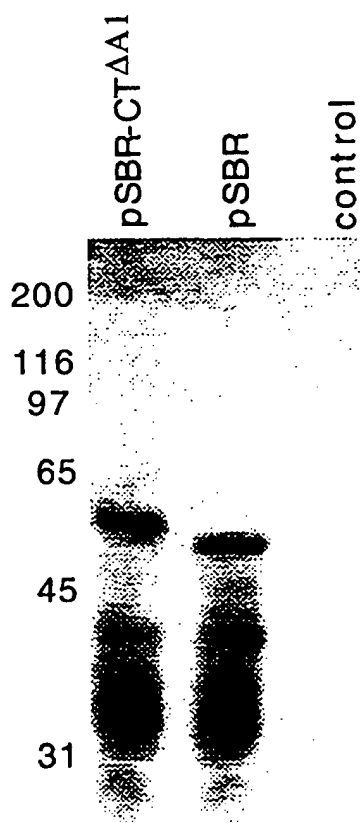


Fig. 8B

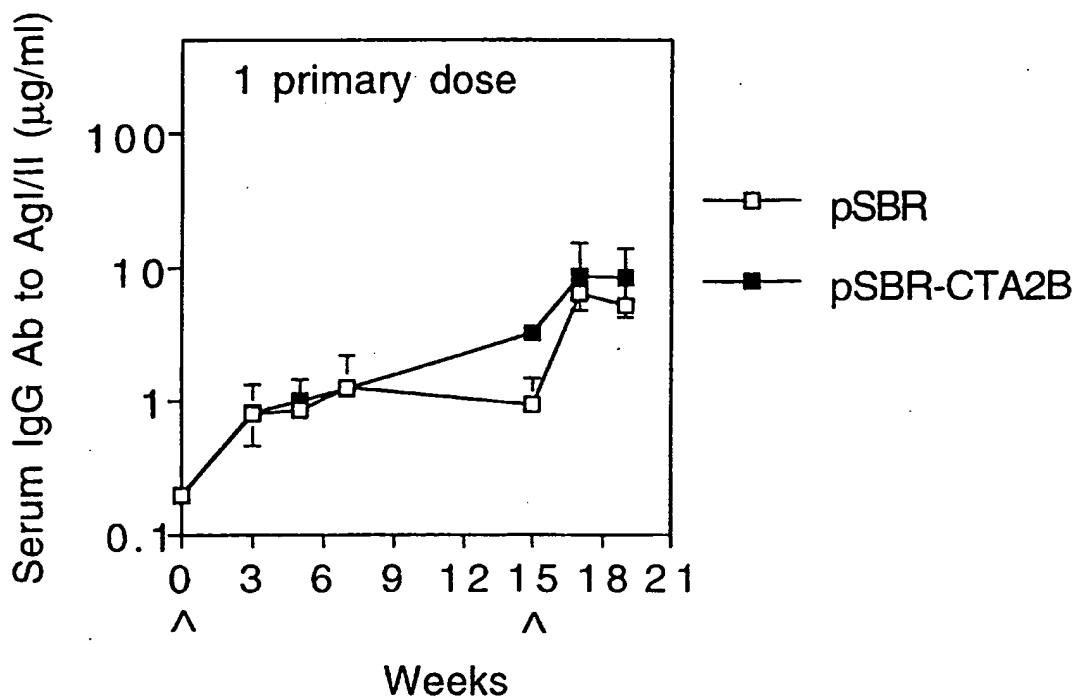


Fig. 9A

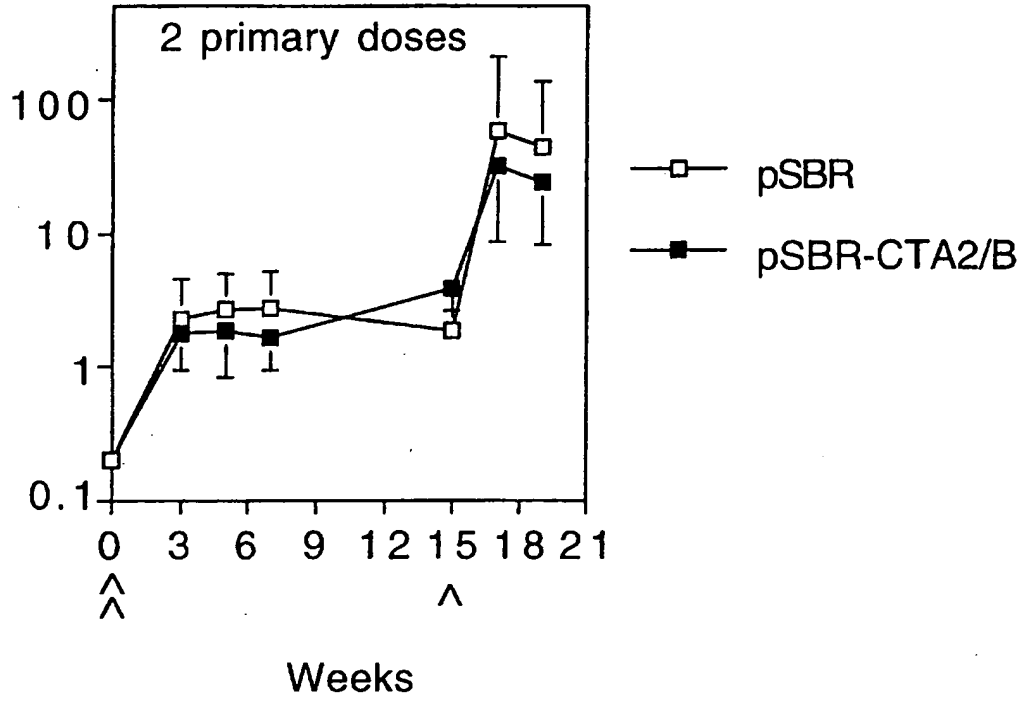


Fig. 9B

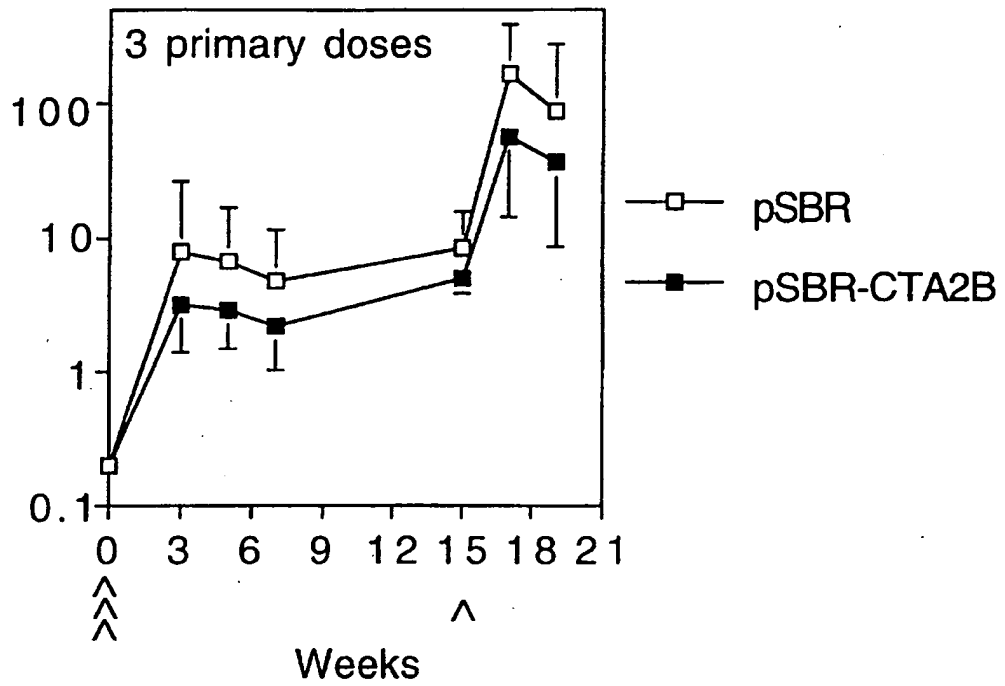


Fig. 9C

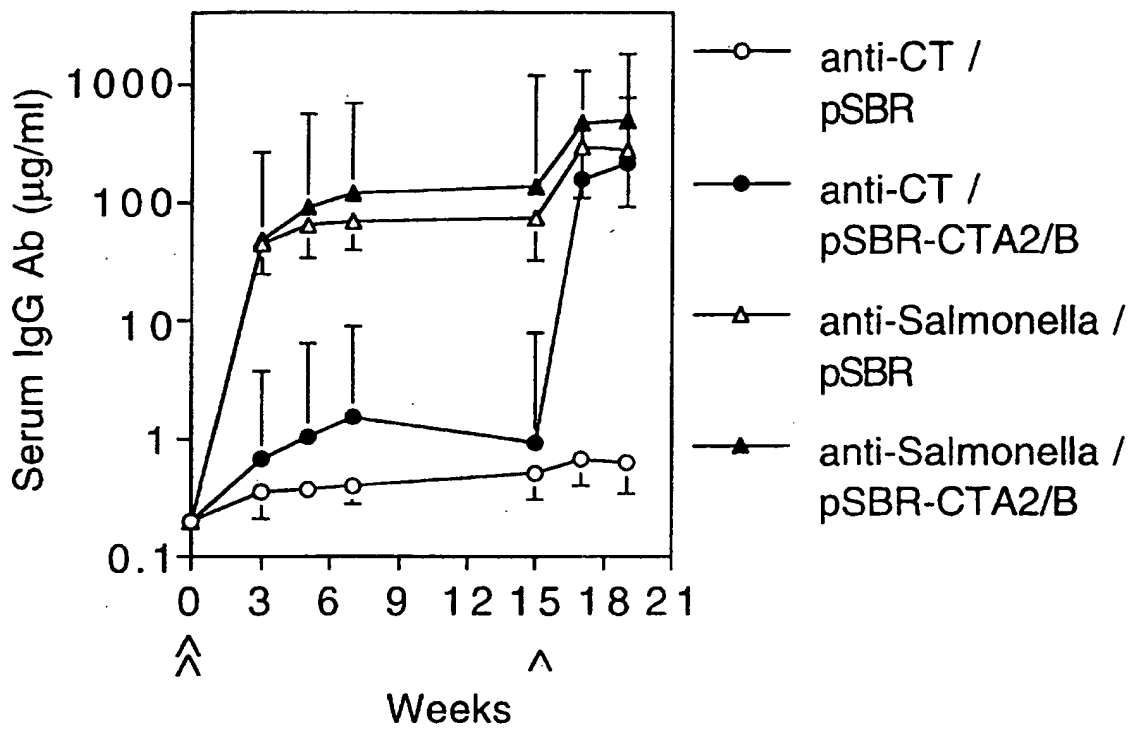


Fig. 10A

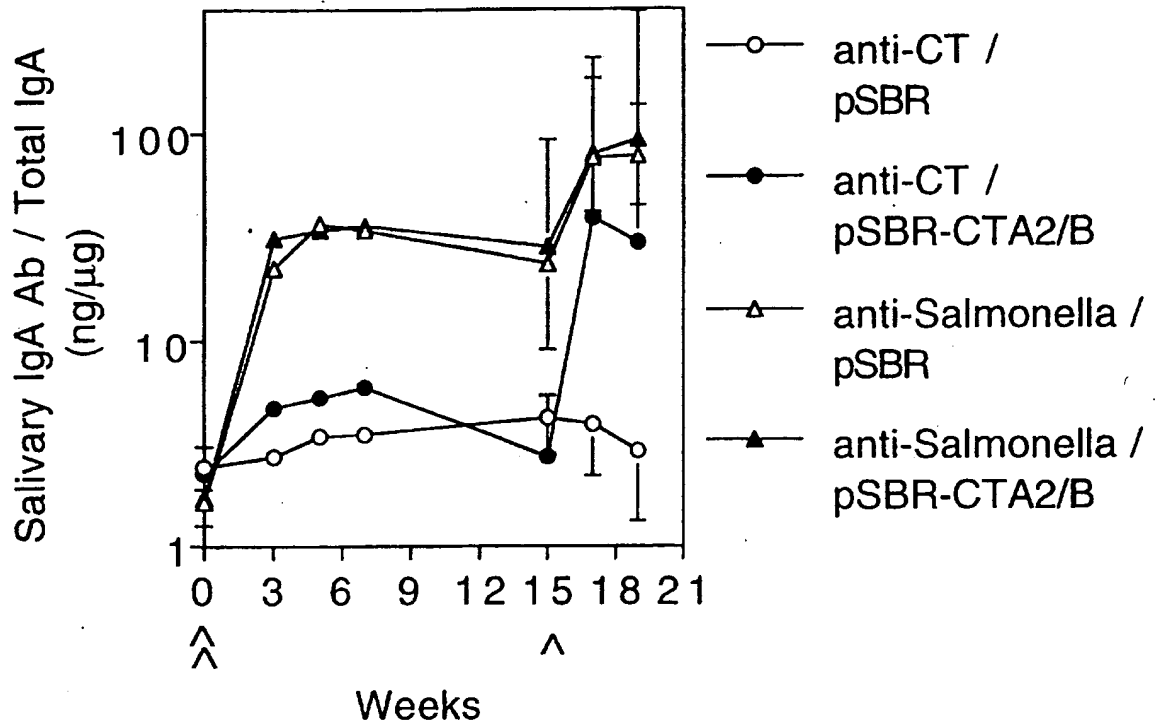


Fig. 10B

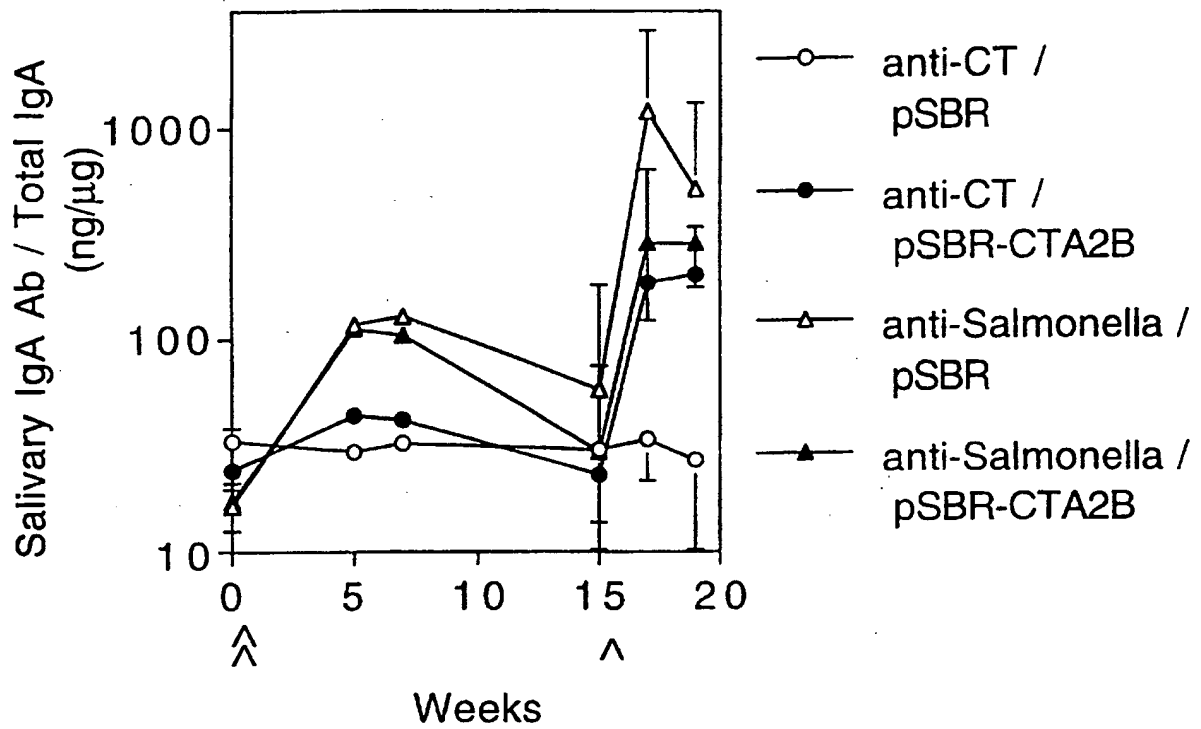


Fig. 10C



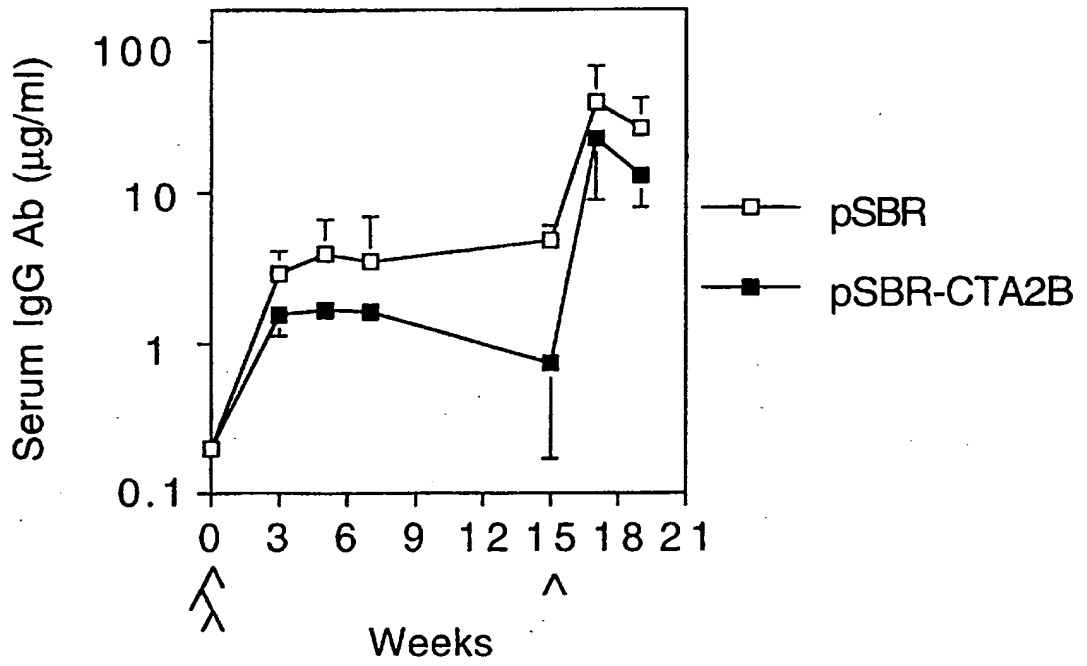


Fig. 11A

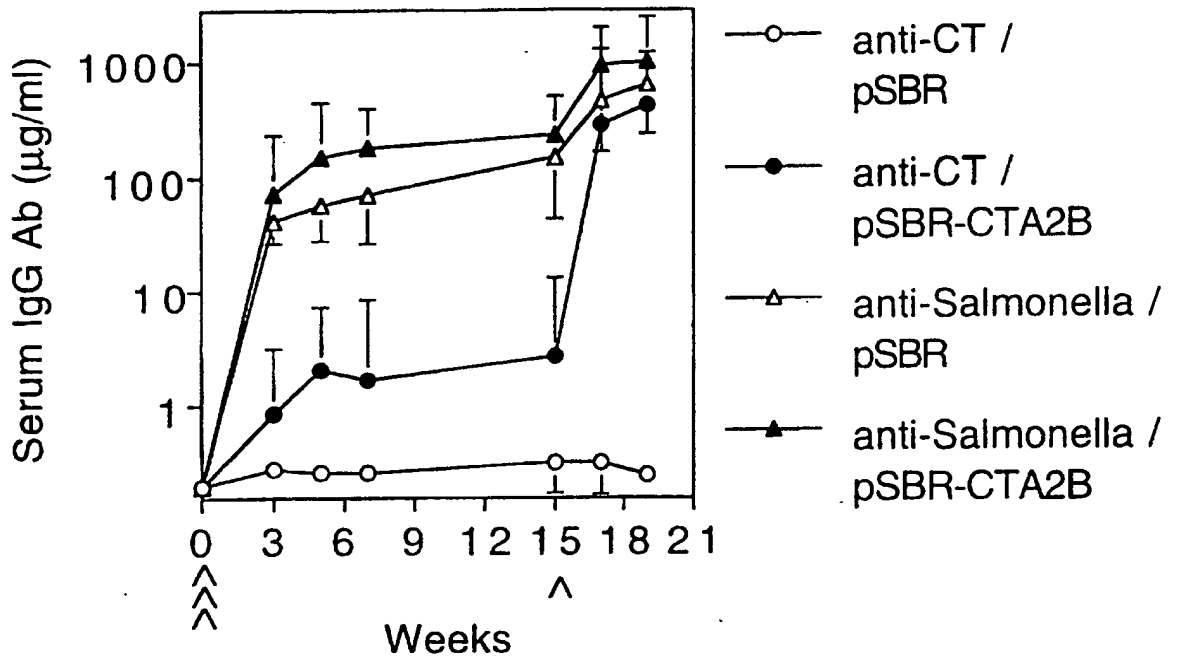


Fig. 11B

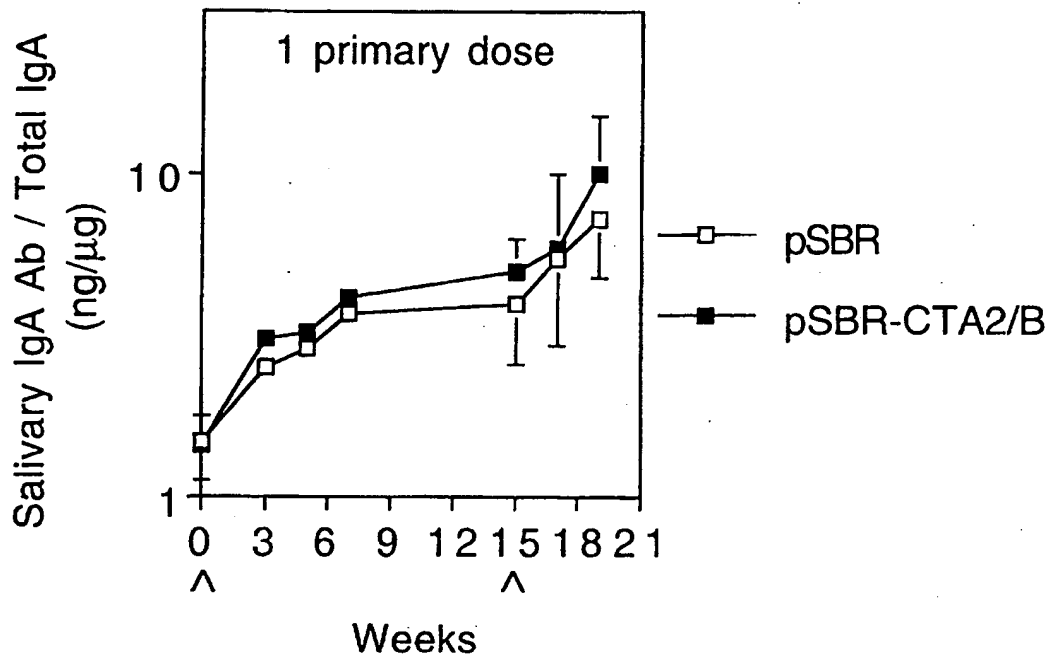


Fig. 12A

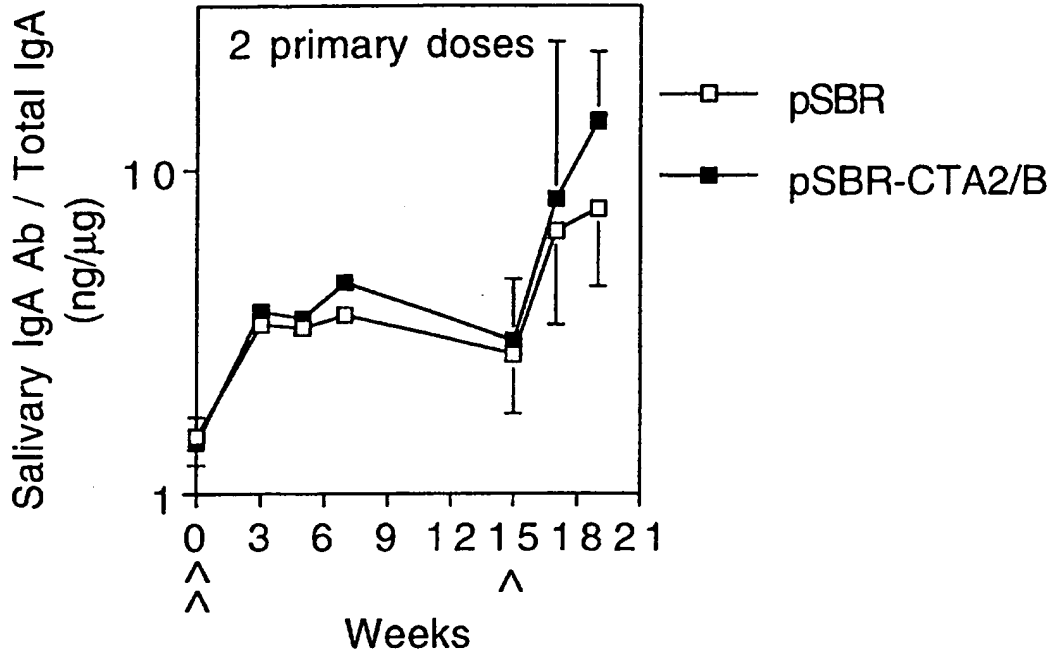


Fig. 12B

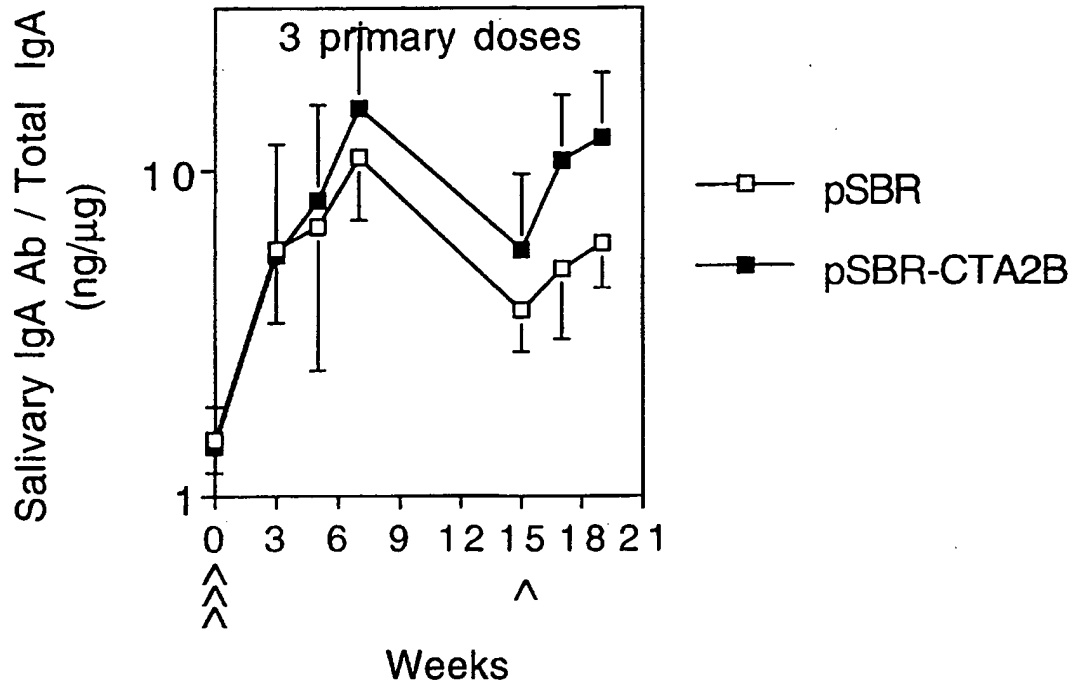


Fig. 12C

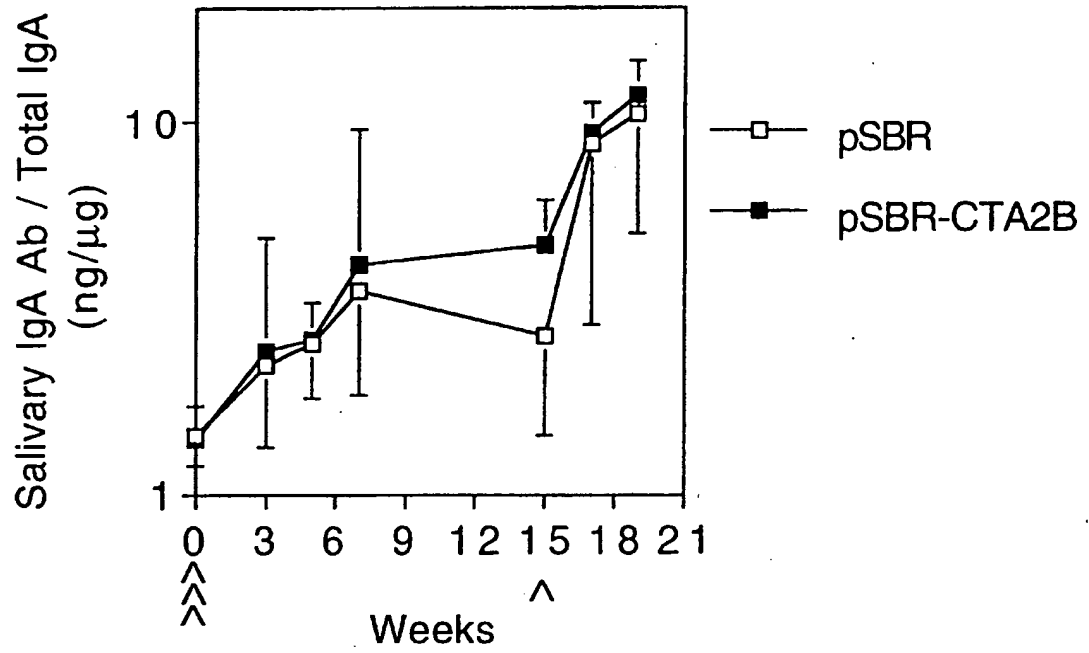


Fig. 13A

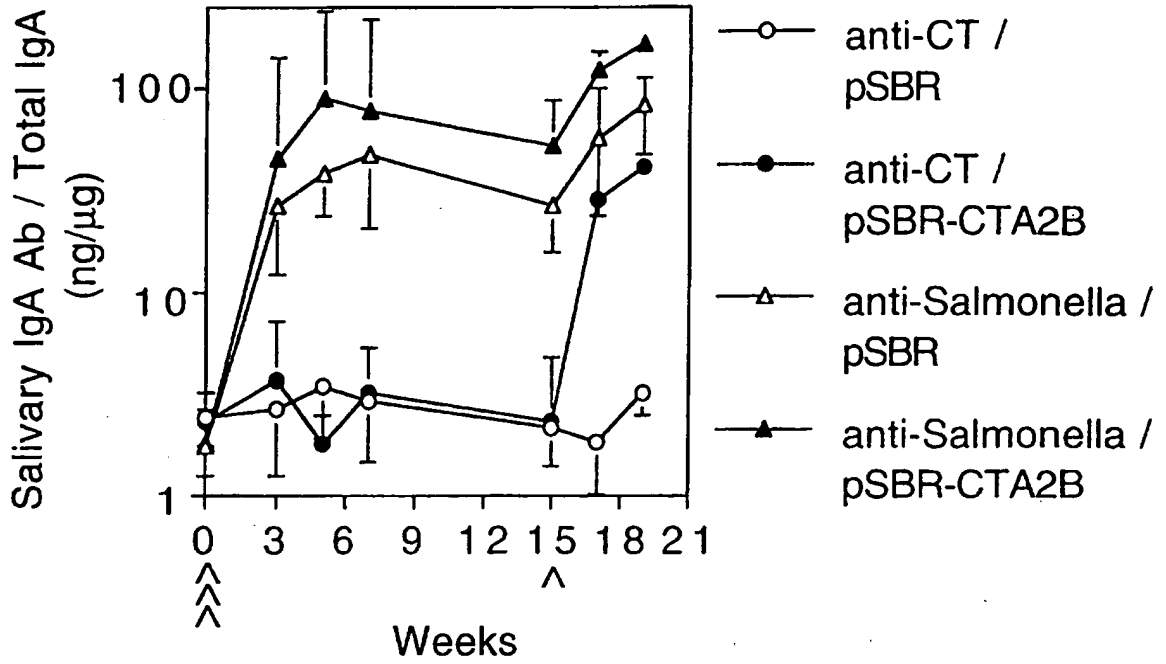


Fig. 13B

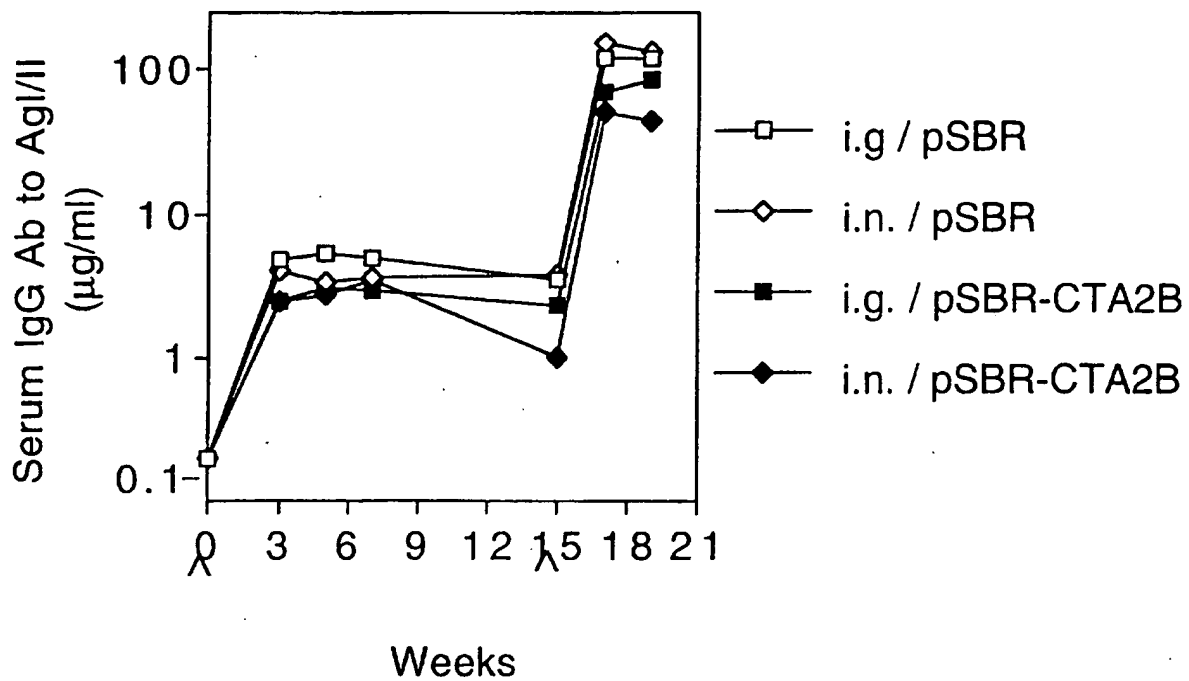


Fig. 14A



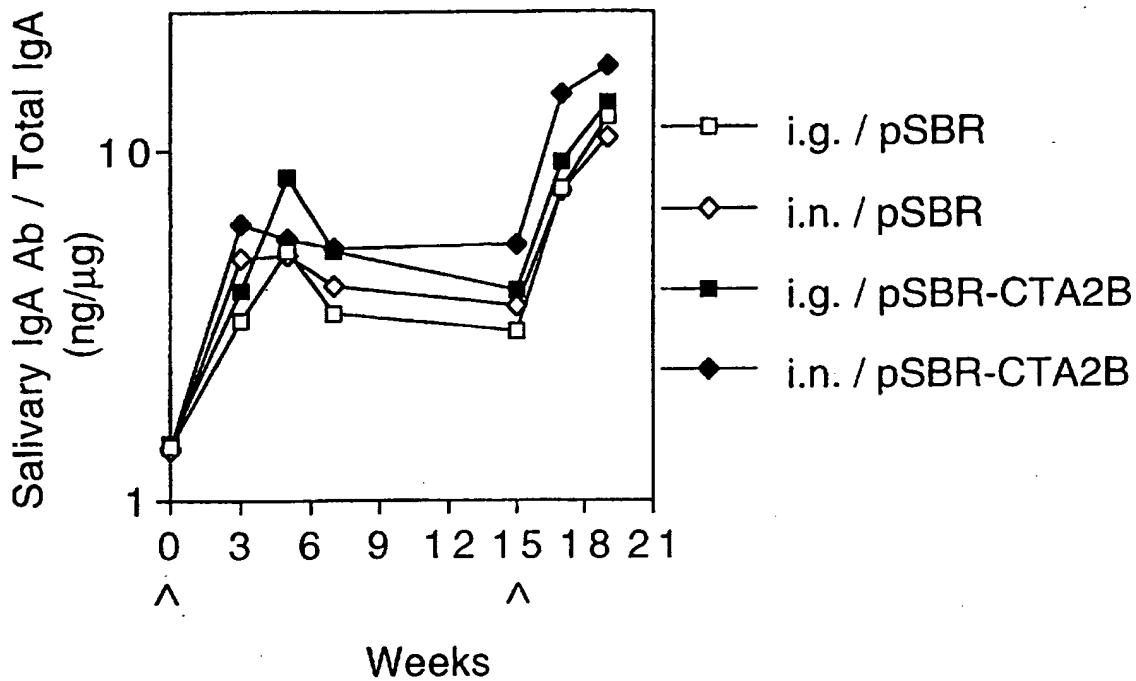


Fig. 14B

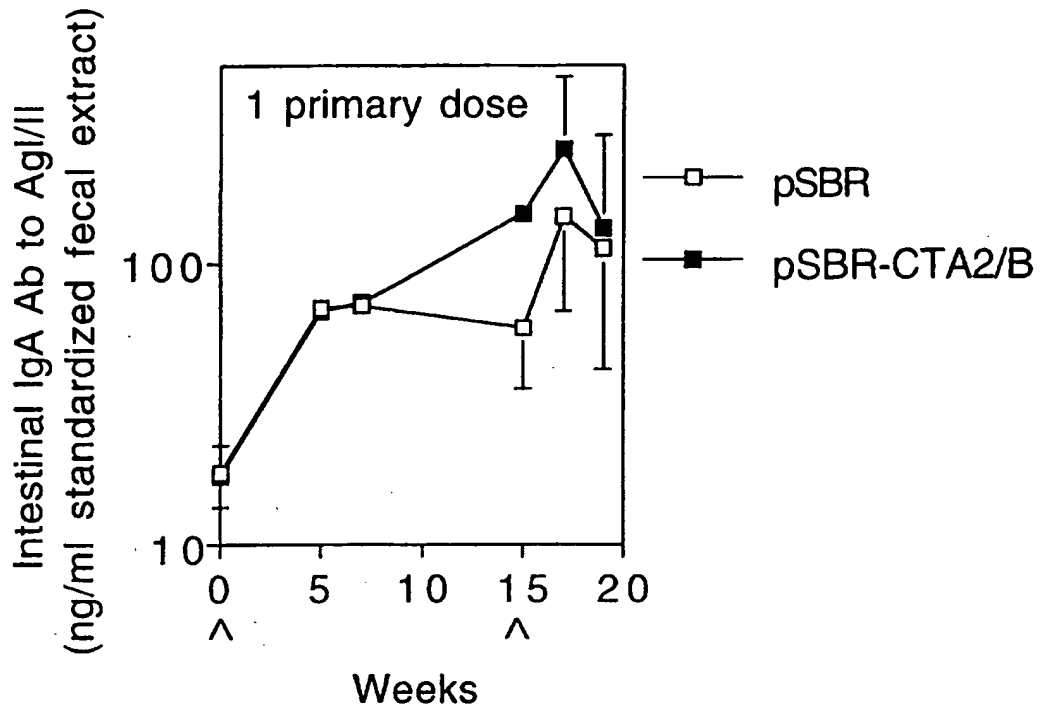


Fig. 15A

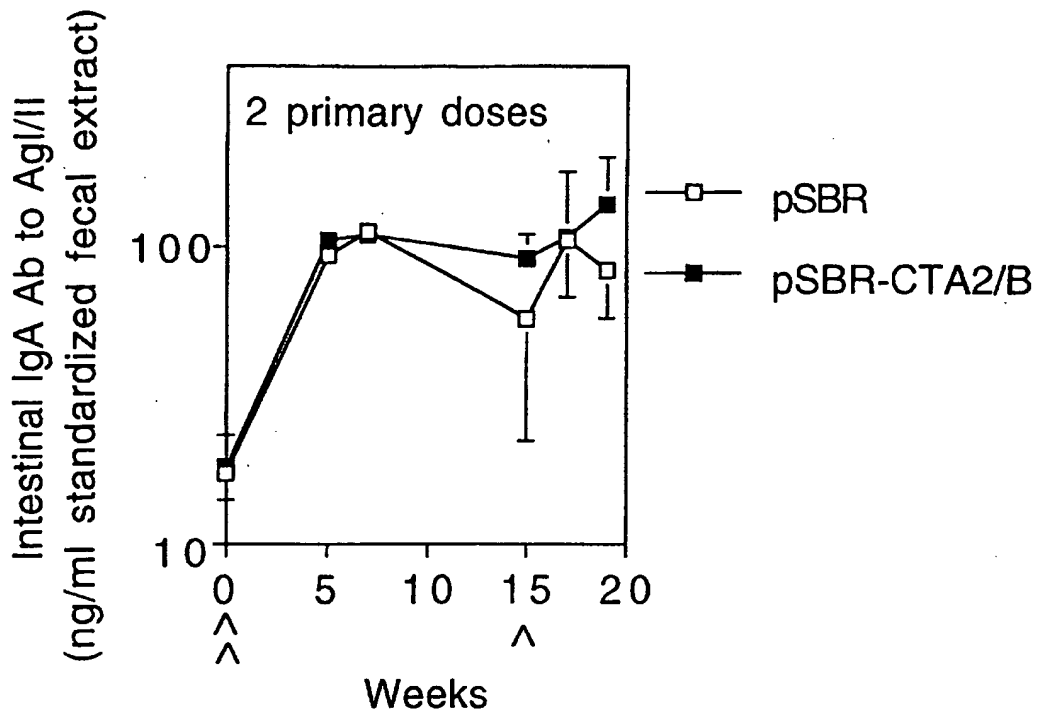


Fig. 15B

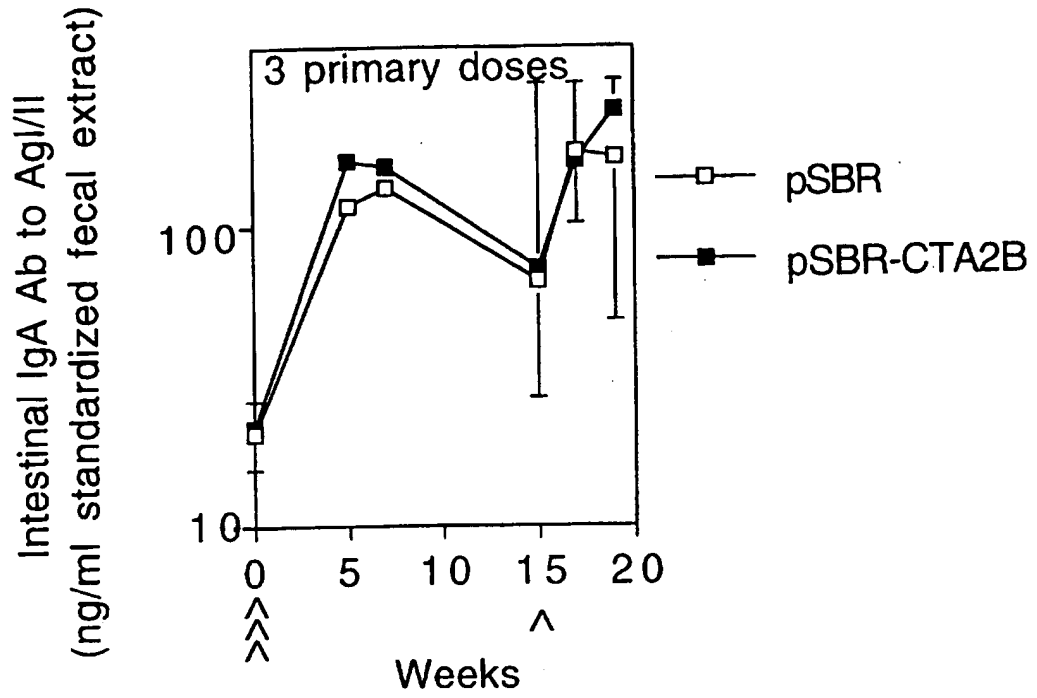


Fig. 15C

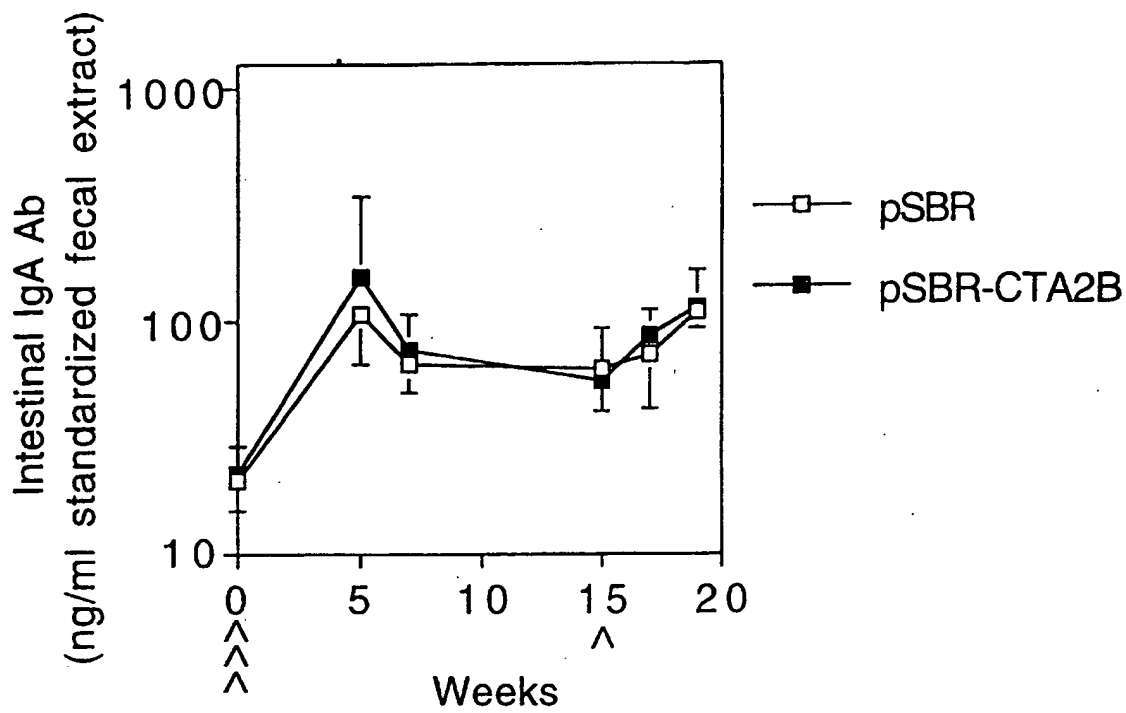


Fig. 16A

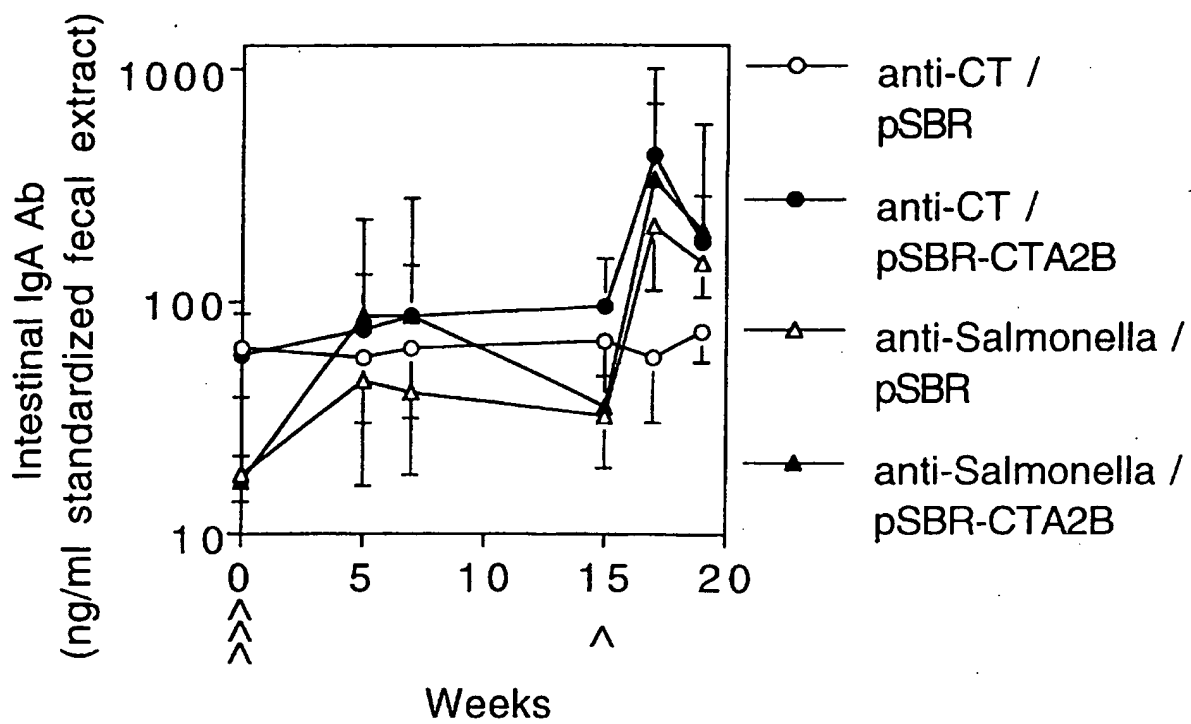


Fig. 16B

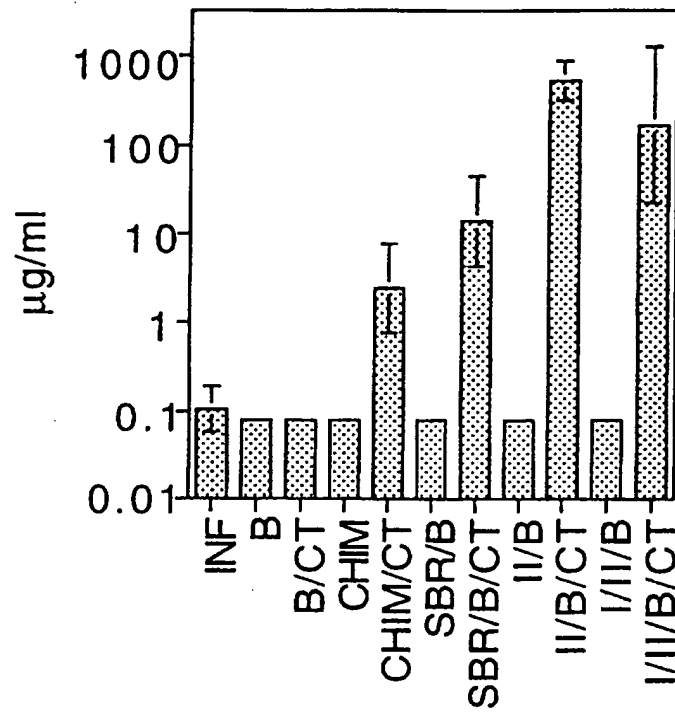


Fig. 17

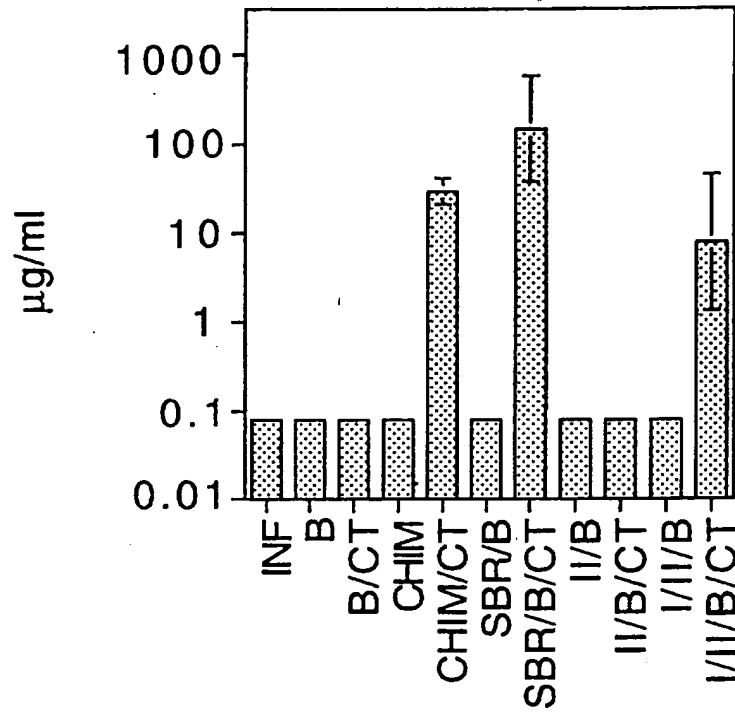


Fig. 18



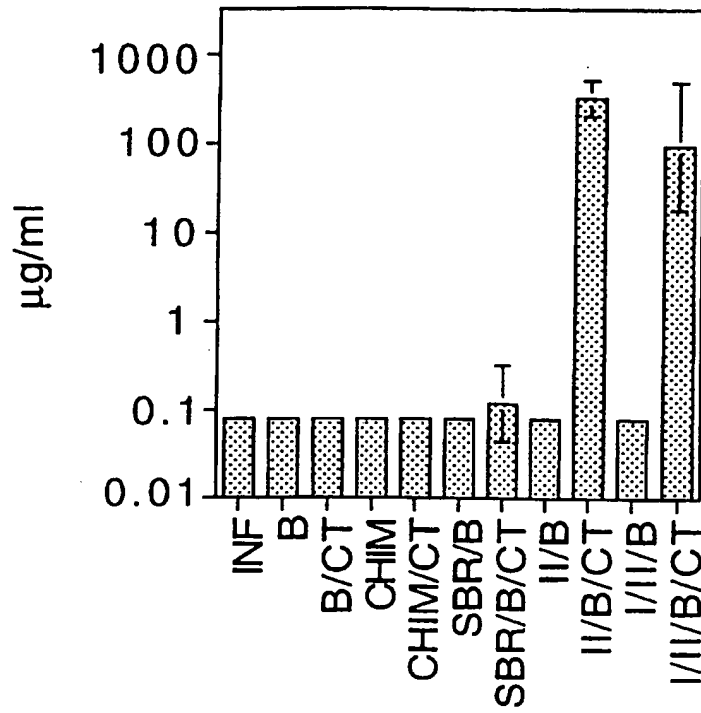


Fig. 19

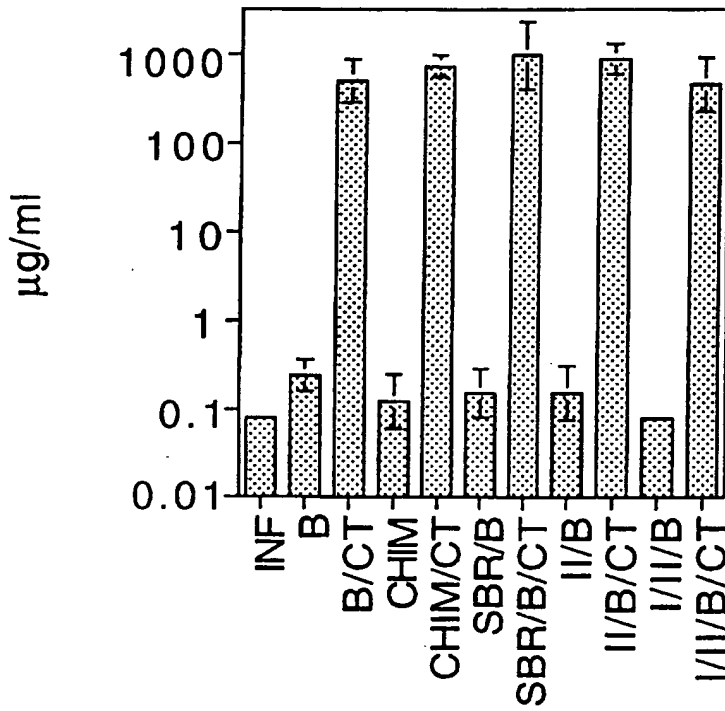


Fig. 20

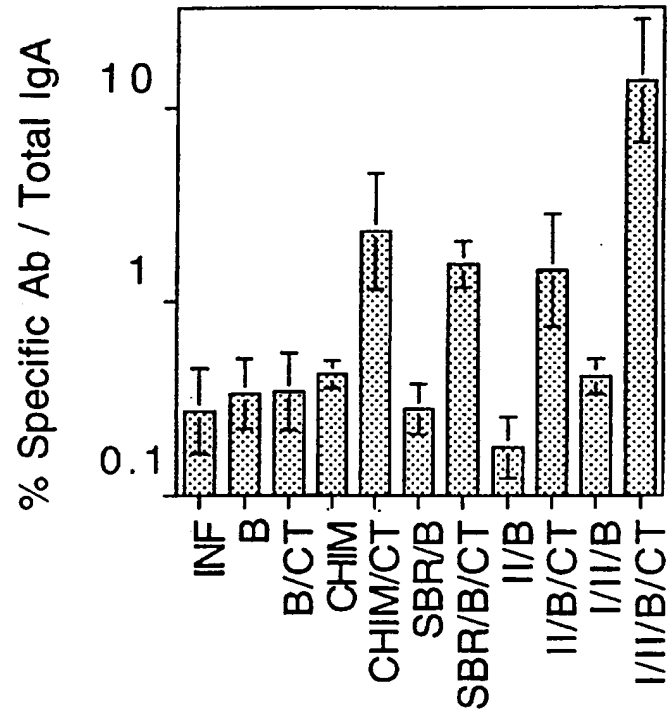


Fig. 21

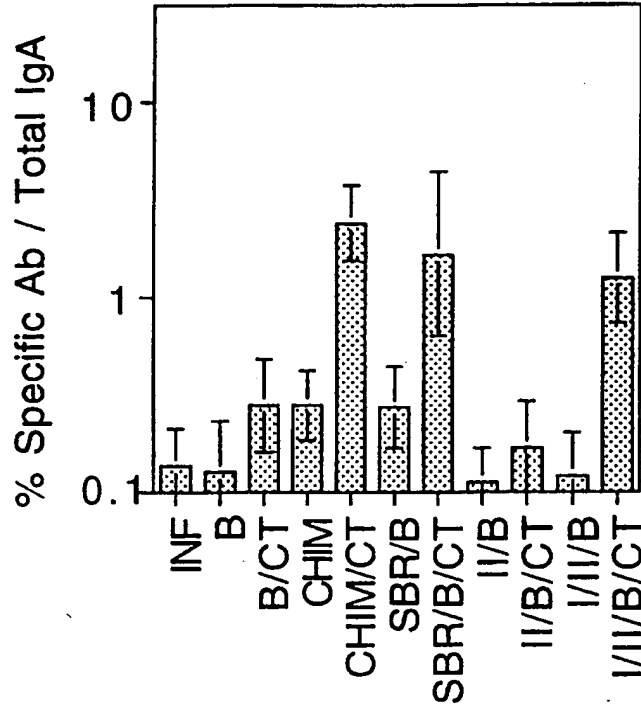


Fig. 22

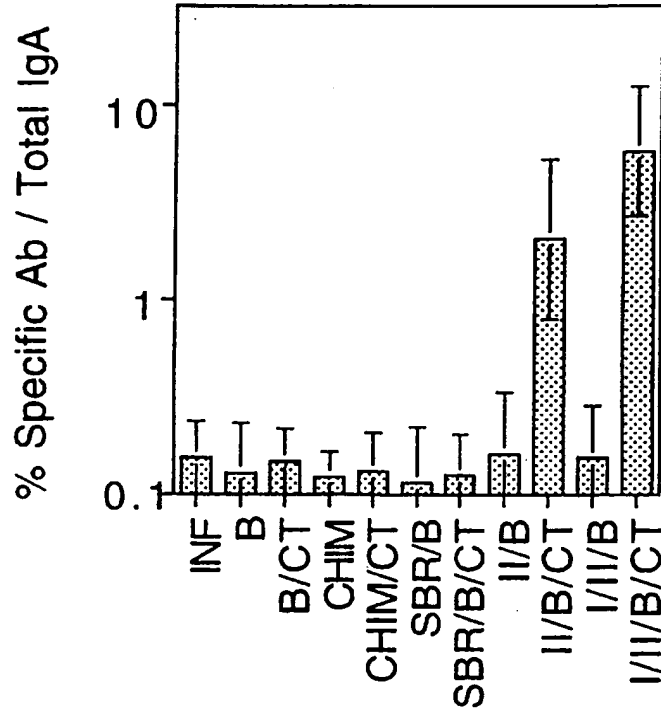


Fig. 23

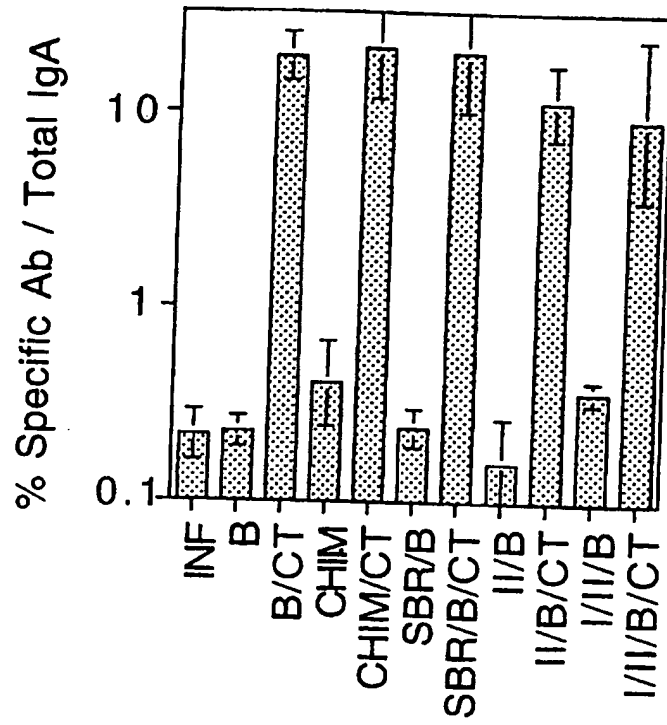


Fig. 24

INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/14413

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC(6) : A61K 39/09, 39/106; C07K 14/28; 14/315; C12N 1/21, 15/70, 15/74 US CL : 424/192.1, 197.11, 244.1, 261.1; 435/252.3, 320.1; 530/402, 403 According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) U.S. : 424/192.1, 197.11, 244.1, 261.1; 435/252.3, 320.1; 530/402, 403 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) APS, CA, MEDLINE, BIOSIS, EMBASE, INPADOC search terms: salivary binding protein, plasmid, cholera toxin, cholera, cholerae, SBR, CTA2, A2, B		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Database Chemical Abstracts on STN, ZHU, D. et al. 'Molecular Cloning of the A2 and B Subunits of Vibrio cholerae Enterotoxin in Escherichia coli K-12 and their Sequencing,' abstract, SHENGWU GONGCHENG XUEBAO, 1990, Vol. 16, No. 2, pages 162-166, see abstract.	1, 2, 6, 7, 10, 11, 15
Y	US 5,356,797 A (NEISEL et al) 18 October 1994, see entire document.	14, 16
X, P --- Y, P	TOIDA, N. et al. Oral Immunization with the Saliva-Binding Region of Streptococcus mutans AgI/II Genetically Coupled to the Cholera Toxin B Subunit Elicits T-Helper Cell Responses in Gut-Associated Lymphoid Tissues. INFECTION AND IMMUNITY. March 1997, Vol. 65, No. 3, pages 909-915, see entire document.	1, 2, 4, 6, 7-13, 15, 17-21 ----- 14, 16
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
* Special categories of cited documents:	*T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A*	document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means		
*P* document published prior to the international filing date but later than the priority date claimed		
Date of the actual completion of the international search 28 SEPTEMBER 1997	Date of mailing of the international search report 12.11.97	
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer ANTHONY C. CAPUTA <i>Anthony C. Caputa</i> Telephone No. (703) 308-0196	

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US97/14413

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
<p>X -- Y</p>	<p>HAJISHENGALLIS, G. et al. Mucosal Immunization with a Bacterial Protein Antigen Genetically Coupled to Cholera Toxin A2/B Subunits. THE JOURNAL OF IMMUNOLOGY. 01 May 1995, Vol. 154, No. 9, pages 4322-4332, see entire document.</p>	<p>1-13, 15, 17-21 ----- 14, 16</p>